

## **Appendix A**

### **Timeline of Events:**

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Boggs Paving, Inc. (BPI) is a prime road construction contractor based in North Carolina, which won several bids for projects from SC DOT. BPI has other affiliated companies: Boggs Materials Inc.; Boggs Transport Inc.; Buckhorn Materials, LLC; and Construction Materials, LLC.

October 16, 2013:

- US Grand Jury in North Carolina indicted BPI, Styx Cuthbertson Trucking Company, Inc. (STYX) and principals, Carl Andrew Boggs, III, aka Drew Boggs, Kevin Hicks, Greg Miller, Greg Tucker, John Cuthbertson, and Arnold Mann on charges of conspiracy and wire and mail fraud, including fraudulent actions concerning the Disadvantaged Business Enterprises program of the US Department of Transportation.
- The federal indictment alleged that Boggs Paving, Inc. fraudulently certified that required payments were made to STYX, a Disadvantaged Business Enterprise (DBE). In reality, the majority of the payments were ultimately kept by BPI and its affiliates.
- The DBE Program is intended to increase the participation of minority and disadvantaged business enterprises (DBE) in federally funded construction projects. For SC DOT construction projects that use US DOT grant funds, bids from prime contractors, who are not themselves a DBE, must certify that it will award a specified percentage of the contract value to eligible DBEs.
- The indictment alleges that BPI misrepresented to SC DOT, NC DOT and other federal grant receiving entities that it had paid approximately \$3.7 million on these contracts to STYX. In fact, BPI really only paid STYX about 10 % of the fabricated amount, or approximately \$375,000, for actual work on those contracts.
- Indictment alleged that in order to create the appearance that STYX performed the work and received the payments, BPI, among other things, ran payments through a nominee bank account in STYX's name but funneled the funds back to BPI and its affiliates.

October, 2013 -- August, 2014:

- Other defendants pleaded guilty to at least one charge of conspiracy.

August, 2014:

- Drew Boggs pleaded guilty to the crime of conspiracy to defraud the US Department of Transportation and to the money laundering.

September 10, 2014:

- BPI pled guilty to the crime of conspiracy to defraud the US Department of Transportation.

September 23, 2014:

- Lynches River Contracting (LRC), was created.

October 10, 2014:

- Boggs Paving, Inc. (BPI) and Carl Drew Boggs, III, one of its owners, were disqualified from bidding on state funded SC DOT projects by order of the SC Administrative Law Court. The disqualification applied to all 'affiliates' of BPI, Drew Boggs, and several key employees of BPI. BPI and its affiliates were allowed to complete their obligations under current contracts with SC DOT. The federal government had also debarred all parties named in the federal indictment.

December 5, 2014:

- Lynches River Contracting, Inc. submits its application to SC DOT for prequalification as a SC DOT contractor. Thad Preslar and Lee Sanders are listed as President and Vice President, respectively, of this new company. Company stock is owned as follows: Preslar 5%, Sanders 5%, Lynches River Trust 45%, and Boggs Dynasty Trust 45%. Lynches River Trust is an irrevocable trust established by Drew Boggs for the benefit of his children and David C. Family Boggs Dynasty Trust is an irrevocable trust established by Chris Boggs for the benefit of his children, there is an independent third party serving as trustee for each trust. Preslar and Sanders were former employees of Boggs Paving but not subject to debarment. Both of them are listed as employees of LRC on the application. SC DOT prequalifies LRC.

January, 2015:

- SC DOT became aware of two issues with LRC, which called into question the veracity of the information provided in LRC's prequalification application.
  - First, LRC application to transact business in NC, filed on October 1, 2014 with the NC Secretary of State was signed by David Christopher Boggs, as president.

- Second, Thad Preslar and Lee Sanders were still employees of BPI. This is a dual employee issue and would not be allowed under the debarment regulations.

January 9, 2015:

- SC DOT met with representatives of LRC to discuss issues. LRC stated it will resolve issues and submit a revised application. SC DOT revoked LRC's prequalification.

January 27, 2015:

- LRC filed a revised application which included evidence that Chris Boggs was removed as president and that both Thad Preslar and Lee Sanders resigned from BPI as of January 11, 2015.

February 10, 2014:

- Prior to SC DOT ruling on the new prequalification application and supporting documentation, LRC received a temporary restraining order from Richland County Circuit Court preventing SC DOT from disallowing LRC to bid at the February construction letting to be held that same day. LRC submitted thirteen bids and was determined to be the low bidder on eight contracts.

February 26, 2014:

- SC DOT and LRC entered into a settlement agreement whereby LRC can continue to bid until SC DOT has time to fully investigate and review the eligibility of LRC.

April 17, 2015:

- SC DOT qualified LRC as a SC DOT contractor. Commission awarded the eight contracts to LRC on which they had been the low bidder.

C2014 269 00356

**Appendix B**  
**North Carolina Secretary of State Filing**

State of North Carolina  
Department of the Secretary of State

**APPLICATION FOR CERTIFICATE OF AUTHORITY**

Pursuant to §55-15-03 of the General Statutes of North Carolina, the undersigned corporation hereby applies for a Certificate of Authority to transact business in the State of North Carolina, and for that purpose submits the following:

1. The name of the corporation is Lynches River Contracting, Inc.; and if the corporate name is unavailable for use in the State of North Carolina, the name the corporation wishes to use is:

2. The state or country under whose laws the corporation was organized is: South Carolina.

3. The date of incorporation was 9/23/2014; its period of duration is: perpetual.

4. Principal office information: (Select either a or b.)

a.  The corporation has a principal office.

The street address and county of the principal office of the corporation is:

Number and Street 1104 W. McGregor Street

City, State, Zip Code Chesterfield, SC 29728 County Chesterfield

The mailing address, if different from the street address, of the principal office of the corporation is:

b.  The corporation does not have a principal office.

5. The street address and county of the registered office in the State of North Carolina is:

Number and Street 214 N. Tryon Street, Hearst Tower, 47th Floor

City, State, Zip Code Charlotte, NC 28202 County Mecklenburg

6. The mailing address, if different from the street address, of the registered office in the State of North Carolina is:

7. The name of the registered agent in the State of North Carolina is: Chad Warpula

8. The names, titles, and usual business addresses of the current officers of the corporation are (attach if necessary):

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
<u>David C. Boggs</u>	<u>President</u>	<u>1104 W. McGregor Street, Pageland, SC 29728</u>

**APPLICATION FOR CERTIFICATE OF AUTHORITY**

**Page 2**

9. Attached is a Certificate of Existence (or document of similar import) duly authenticated by the Secretary of State or other official having custody of corporate records in the state or country of incorporation. **The Certificate of Existence must be an original and less than six months old.**
10. If the corporation is required to use a fictitious name in order to transact business in this State, a copy of the resolution of its board of directors, certified by its secretary, adopting the fictitious name is attached.
11. This application will be effective upon filing, unless a delayed date and/or time is specified:

This is the 25 day of September, 2014

Lynches River Contracting, Inc.

Name of Corporation

*David C. Boggs*

Signature

David C. Boggs, President

Type or Print Name and Title



# BUSINESS CORPORATION ANNUAL REPORT

E-Filed Annual Report  
10631009

Do not data enter manually.

NAME OF BUSINESS CORPORATION: *Lynches River Contracting, Inc.*

FISCAL YEAR ENDING: *12/31/2014*

STATE OF INCORPORATION: *SC*

SECRETARY OF STATE CORPORATE ID NUMBER: *1404096*

NATURE OF BUSINESS: *Contracting*

REGISTERED AGENT: *Warpula, Chad*

REGISTERED OFFICE MAILING ADDRESS: *214 N. Tryon Street  
Hearst Tower 47th Floor  
Charlotte, NC 28202-1078*

REGISTERED OFFICE STREET ADDRESS: *214 N. Tryon Street  
Charlotte, NC 28202-1078 Mecklenburg County*

PRINCIPAL OFFICE TELEPHONE NUMBER: *843-675-4287*

PRINCIPAL OFFICE MAILING ADDRESS: *1104 W. McGregor Street  
Chesterfield, SC 29728-2016*

PRINCIPAL OFFICE STREET ADDRESS: *1104 W. McGregor Street  
Chesterfield, SC 29728-2016*

## PRINCIPAL OFFICERS:

*Name: Thad A. Preslar  
Title: President  
Address:  
1104 W. McGregor Street  
Chesterfield, SC 29728*

*Name: Charles L. Sanders  
Title: Vice President  
Address:  
1104 W. McGregor Street  
Chesterfield, SC 29728*

## CERTIFICATION OF ANNUAL REPORT MUST BE COMPLETED BY ALL BUSINESS CORPORATIONS

Thad A. Preslar

1/12/2015

FORM MUST BE SIGNED BY AN OFFICER OF THE CORPORATION

DATE

Thad A. Preslar

President

TYPE OR PRINT NAME

TYPE OR PRINT TITLE

ANNUAL REPORT FEE: E-Paid MAIL TO: Secretary of State • Corporations Division • Post Office Box 29525 • Raleigh, NC 27626-0525

C2015 072 02635



# AMENDED BUSINESS CORPORATION ANNUAL REPORT

NAME OF BUSINESS CORPORATION: Lynches River Contracting, Inc.

SECRETARY OF STATE ID NUMBER: 1404096 STATE OF FORMATION: SC

REPORT FOR THE FISCAL YEAR END: 12/31/2014 ORIGINAL DOCUMENT ID: CA201501201194

Filing Office Use Only

Changes

## SECTION A: REGISTERED AGENT'S INFORMATION

1. NAME OF REGISTERED AGENT: Christian Chad Warpula

2. SIGNATURE OF THE NEW REGISTERED AGENT: \_\_\_\_\_

SIGNATURE CONSTITUTES CONSENT TO THE APPOINTMENT

3. REGISTERED OFFICE STREET ADDRESS & COUNTY

One Wells Fargo Center, 301 S. College Street, Suite 3400  
Charlotte, NC 28202

4. REGISTERED OFFICE MAILING ADDRESS

One Wells Fargo Center, 301 S. College Street, Suite 3400  
Charlotte, NC 28202

LYNCHES RIVER CONTRACTING, INC. SHALL AT NO TIME BE OWNED, CONTROLLED OR INFLUENCED BY, OR USE AS ANY SUBCONTRACTOR OR SUPPLIER, ANY DEBARRED, SUSPENDED OR INELIGIBLE ENTITY OR PERSON IN VIOLATION OF APPLICABLE LAW, INCLUDING THE EXCLUDED ENTITY BOGGS PAVING, INC., OR ANY OF ITS OWNERS, OR EXCLUDED PERSON CARL ANDREWS BOGGS III.

## SECTION B: PRINCIPAL OFFICE INFORMATION

1. DESCRIPTION OF NATURE OF BUSINESS: Contracting

2. PRINCIPAL OFFICE PHONE NUMBER: 843-675-4285 3. PRINCIPAL OFFICE EMAIL: Privacy Reduction

4. PRINCIPAL OFFICE STREET ADDRESS & COUNTY

1104 W. McGregor Street  
Chesterfield, SC 29728-2016 Chesterfield County

5. PRINCIPAL OFFICE MAILING ADDRESS

1104 W. McGregor Street  
Chesterfield, SC 29728-2016

## SECTION C: OFFICERS (Enter additional Officers in Section E.)

NAME: Thad A. Preslar NAME: Charles L. Sanders NAME: \_\_\_\_\_

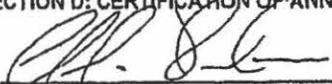
TITLE: President TITLE: Vice President TITLE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_ ADDRESS: \_\_\_\_\_ ADDRESS: \_\_\_\_\_

1104 W. McGregor Street 1104 W. McGregor Street \_\_\_\_\_

Chesterfield, SC 29728 Chesterfield, SC 29728 \_\_\_\_\_

## SECTION D: CERTIFICATION OF ANNUAL REPORT. Section D must be completed in its entirety by a person/business entity.

 \_\_\_\_\_ March 13, 2015 \_\_\_\_\_  
SIGNATURE DATE

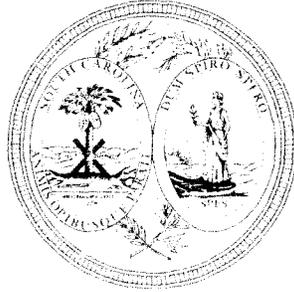
Form must be signed by an officer listed under Section C of this form.

Charles L. Sanders \_\_\_\_\_ Vice President \_\_\_\_\_  
Print or Type Name of Officer TITLE

SUBMIT THIS ANNUAL REPORT WITH THE REQUIRED FILING FEE OF \$10.00

MAIL TO: Secretary of State, Corporations Division, Post Office Box 28525, Raleigh, NC 27626-0525

# *The State of South Carolina*



*Office of Secretary of State Mark Hammond*

## **Certificate of Existence**

**I, Mark Hammond, Secretary of State of South Carolina Hereby certify that:**

LYNCHES RIVER CONTRACTING, INC.,  
a corporation duly organized under the laws of the State of South Carolina on September 23rd, 2014, and having a perpetual duration unless otherwise indicated below, has as of the date hereof filed all reports due this office, paid all fees, taxes and penalties owed to the Secretary of State, that the Secretary of State has not mailed notice to the Corporation that it is subject to being dissolved by administrative action pursuant to section 33-14-210 of the South Carolina Code, and that the corporation has not filed articles of dissolution as of the date hereof.

Given under my Hand and the Great  
Seal of the State of South Carolina this  
24th day of September, 2014.

  
Mark Hammond, Secretary of State

**Appendix C**  
**SC DOT Prequalification Application**

**SOUTH CAROLINA DEPARTMENT of TRANSPORTATION**  
**PRIME CONTRACTOR PREQUALIFICATION APPLICATION**  
for  
**HIGHWAY CONSTRUCTION PROJECTS**

*(When filling-in this application on-line, hover the cursor over the field for help.)*

1. Check one:  The Company is Prequalifying with the SCDOT for the First Time.  
 The Company's Prequalification with the SCDOT is current.  
 The Company's Prequalification with the SCDOT has expired.

2. The full, legal Name of the Company is:

Lynches River Contracting, Inc.

3. Name and telephone number (including Area Code and Extension) of a Contact Person for questions concerning the preparation and information in this application:

Name: Thad Preslar Phone: 7046340232 Ext.: \_\_\_\_\_  
Date Prepared: 12/5/14 E-mail Address: thad.preslar@lynchesrivercontracting.com

4. Company's street address to which letters and packages can be sent using a delivery service:

Street: 1104 W. McGregor St.  
City/Town: Pageland State: SC Zip Code: 29728

5. Company's US Post Office Box, if applicable, for letter size documents:

PO Box: 250 City: Pageland State: SC Zip Code: 29728

6. Company's Main Telephone No.: 8436754285 Fax No: 8436725289

7. Contact person's company e-mail address: thad.preslar@lynchesrivercontracting.com

8. Federal Employer ID No. (FEIN): 47217903

9. DUNS No. (Data Universal Numbering System Number): \_\_\_\_\_ New Co.

10. Since SCDOT only accepts bids through BID EXPRESS, an electronic bidding service, is the Company a subscriber to BID EXPRESS:  YES  NO If NO, why not?

Need Vendor Number in order to complete transaction

11. Name and telephone numbers (including Area Codes and Extensions) of an Emergency Response Contact Person who will be available to take a call from SCDOT 24/7, and is authorized to mobilize Company personnel and equipment.

Name: Thad Preslar

Title: President

Office Phone: 8436754285 Ext.: \_\_\_\_\_

Cell Phone: 7046340232

Home Phone: 7042919285

12. List ALL the Officers of the Company in the table below:

Name First Name, Middle Initial, Last Name	Title or Position
Thad A. Preslar	President
Charles L. Sanders	Vice-President

13. What is/are the primary type(s) of highway construction work performed by the Company?

Asphalt Paving, Full Depth Reclamation, Grading. Storm Drain, Survey, Patching, and Milling

14. Is the Company prequalified for highway construction work as a Prime or Subcontractor with any State DOT other than the SCDOT?

YES       NO      *If YES, provide information in table below.*

State DOT	Prime, Sub, or Both	What Areas of Works and Limits (if any)
	<input type="checkbox"/>	

15. How is the Company presently organized?  Corporation  S-Corporation  LLC  
 Limited Partnership  LLP  Partnership  Sole Proprietorship

If Other, explain: \_\_\_\_\_

16. If the Company is a business Corporation, Limited Partnership, Limited Liability Partnership, or Limited Liability Company, under the laws of what State was it organized? SC

*If the State is one other than SC, ATTACH a copy of the Certificate of Existence or other similar document issued by the Secretary of State of the foreign State.*

17. If the Company is a business Corporation, Limited Partnership, Limited Liability Partnership, or Limited Liability Company, has it filed the required documents with the SC Secretary of State to transact business in South Carolina?  YES  NO

*If NO, be aware that the Company must file appropriate documents with the South Carolina Secretary of State before beginning work on a SCDOT project.*

18. Does the Company owe delinquent taxes to the State of SC or to any County or Municipality in SC?  YES  NO

19. Check the box where the Company's gross receipts would fall for the last full fiscal year:

\$0  \$500,000  \$1,000,000  \$5,000,000  \$10,000,000  \$10,000,000+

20. Company's latest Experience Modification Ratio (EMR or E-Mod) used by the Workers Compensation insurance carrier to modify the Company's premiums: New

EMR was issued by:  NCCI  State Insurance Board  Insurance Agent

Effective Date of EMR: N/A-EMR not yet

Name of Worker's Compensation insurance carrier: Liberty Mutual Fire Insurance

Name of Insurance Agency: Scott Insurance

Name of Agent: Andrew Chambers Telephone No.: 704-644-8981 Ext. \_\_\_\_\_

*Attach a copy of the latest EMR notification and worksheets from the National Council of Compensation Insurance (NCCI), State Insurance Board or Commission, or Insurance Agent. The notification **MUST** show the Company's Name, EMR, and Effective Date of the EMR. If the Company does not qualify for an EMR, attach a copy of a letter from the Company's Workers Compensation Insurance Agent or Carrier stating the reason why the Company does not qualify for an EMR. The letter must include the Effective Date of the Workers Compensation Policy. **A PREQUALIFICATION WILL NOT BE PROCESSED WITHOUT ONE OF THESE TWO SUBSTANTIATING DOCUMENTS.***

21. Name of the last Surety company used by the Company: Arch Insurance  
Name of Agent: Brad Lorenzetti  
Address: 1441 Main Street Columbia  
City/Town: Columbia State: SC Zip Code: 29201  
Telephone No.: 8035403084 Ext.: \_\_\_\_\_

22. Has the Company done work for the SCDOT as a prime contractor or a subcontractor under another name or names?  YES  NO  
If YES, what other names:

23. Has the Company done roadway construction work?  YES  NO

24. How many years of experience does the Company have working as a Prime Contractor? 0

25. How many years of experience does the Company have working as a Subcontractor? 0

26. Does the Company have a current General Contractor's or Mechanical Contractor's license issued by the SC Department of Labor, Licensing, and Regulation?  YES  NO  
If YES, provide the License Number: G119107  
Classifications and Limits: AP5, BT5, MS5, RR5, SS5, and HI5  
Name of Qualifying Person(s): Thad Preslar

27. If the Company is a painting Contractor, answer this question: otherwise, skip to next question:  
Does the Company have a current SSPC-QP1 Certificate?  YES  NO  
*If YES, provide a copy of the certificate with this application.*  
Does the Company have a current SSPC-QP2 Certificate?  YES  NO  
*If YES, provide a copy of the certificate with this application.*

28. In order to evaluate the Company's manpower, provide the following information:  
*(DO NOT INCLUDE Officers or Administrative personnel unless they actually perform the duties of the job titles and work in the Company's Construction Division.)*  
How many employees are in the Construction Division of the Company: 1  
How many employees are: Project Managers 1 Estimators 1  
Field Superintendents 1 Foremen \_\_\_\_\_

29. In the following table, provide the Name, Length of Time Employed (with the Company), and Years of Construction Experience of the three most experienced Project Managers, Estimators, Field Superintendents, and Foremen. **DO NOT SUBMIT RESUMES IN LIEU OF THIS TABLE**

NAME (First Name, MI, Last Name)	Length of Time with Company	Years of Construction Experience
<b>Projects Managers</b>		
Thad A. Preslar	0	19
<b>Estimators</b>		
Thad A. Preslar	0	19
<b>Field Superintendents</b>		
Charles L. Sanders	0	30
<b>Foremen</b>		

30. Has the Company ever failed to complete a construction contract or project for the SCDOT or any Federal, State, County, Municipal, or any other government agency?

YES  NO *If YES, attach a page with complete details.*

31. Has any principal of the Company ever owned an interest in a company that failed to complete a construction contract or project for SCDOT or any Federal, State, County, Municipal, or any other government agency?

YES  NO *If YES, attach a page with complete details.*

32. Is the Company, its parent, affiliate, or subsidiary company presently suspended, disqualified, debarred, or prohibited from working or bidding with the SCDOT or any Federal, State, County, Municipal, or any other government agency?

YES  NO *If YES, attach a page with complete details.*

33. Is any principal of the Company presently suspended, disqualified, debarred, or declared ineligible to do business with the SCDOT or any Federal, State, County, Municipal, or any other government agency?  
 YES  NO *If YES, attach a page with complete details.*
34. In the past three (3) years, has any person or company referred to in Questions 30, 31, 32, or 33 been indicted for, pled guilty to, pled nolo contendere to, or convicted of violation of an Antitrust law or of an act prohibited by a state or federal law committed in any jurisdiction of the United States involving fraud, collusion, or conspiracy with respect to bidding on or the performance of a public contract?  
 YES  NO *If YES, attach a page with complete details.*
35. Is any person or company referred to in Questions 30, 31, 32, or 33 currently serving a sentence or owe restitution as a result of a violation of any acts or omissions prohibited by any State or Federal law with respect to bidding on or performing a public contract?  
 YES  NO *If YES, attach a page with complete details.*
36. In the past three (3) years, has any person or company referred to in Questions 30, 31, 32, or 33 been indicted for, pled guilty to, pled nolo contendere to, or convicted of crimes including, but not limited to: fraud deceit, embezzlement, theft, forgery, bribery, falsification or destruction of records, bid rigging, price fixing, making false statement, receiving stolen property, anti-trust violations, making false claims, or making any unlawful payment or gratuity, obstruction of justice, or conspiracy to commit such violations of ethical standards?  
 YES  NO *If YES, attach a page with complete details.*
37. Is the Company under the protection of a Bankruptcy Court, or does the Company have a pending petition in a Bankruptcy Court, or has there been an assignment for the benefit of creditors?  
 YES  NO *If YES, attach a page with complete details.*
38. Was there ever a contract on which the Company bid, but did not win, but ended up working a subcontractor to the winning bidder?  
 YES  NO
39. Has the Company ever been under investigation for collusion or bid rigging?  
 YES  NO  
*If YES, attach a page with complete details. Include whether or not indictments resulted.*

40. Does the Company have subsidiary, affiliate, and/or parent companies?  YES  NO  
 If YES, enter parent's, affiliate's, or subsidiary's name, city, and state or foreign country in boxes below. Do not include the prequalifying applicant's name in the boxes below.  
*(Use the pull down list to choose Parent, Affiliate, or Subsidiary)*

	Name and Address		Name and Address
Is It Prequalified with SCDOT?		Is It Prequalified with SCDOT?	
<input type="checkbox"/> YES <input type="checkbox"/> NO		<input type="checkbox"/> YES <input type="checkbox"/> NO	
	Name and Address		Name and Address
Is It Prequalified with SCDOT?		Is It Prequalified with SCDOT?	
<input type="checkbox"/> YES <input type="checkbox"/> NO		<input type="checkbox"/> YES <input type="checkbox"/> NO	
	Name and Address		Name and Address
Is It Prequalified with SCDOT?		Is It Prequalified with SCDOT?	
<input type="checkbox"/> YES <input type="checkbox"/> NO		<input type="checkbox"/> YES <input type="checkbox"/> NO	

41. Provide the names of the individuals (internal and external) or companies that have a financial holding of more than five percent (5%) in the Company, and give the amount (%) of the holding.

Name of Person or Company (If Person, First Name, MI, Last Name)	Amount (%) of Financial Holding
Thad A. Preslar	5
Charles L. Sanders	5
The David C. Boggs Family Dynasty Trust	45
The Lynches River Trust	45

42. Is the Company currently certified as a Disadvantaged Business Enterprise (DBE) or a Disadvantaged Woman's Business Enterprise (DWBE) certified by the South Carolina Unified Certification Program?  YES  NO

If YES, check which one:  DBE  DWBE

List certified work areas in the box below:

43. Has the Company ever failed to meet a DBE or DWBE contract goal?  YES  NO  
*If YES, attach a page with complete details. Include whether or not penalties were assessed.*

44. Is the Company currently certified by the SCDOT as a Small Business Enterprise (SBE)?  
 YES  NO

45. Does the Company have ownership in a quarry, asphalt plant, or liquid AC terminal?  
 YES  NO

*If YES, attach a page with complete details. Include name of facility, location, products, other owners, how long has the company been the owner, do you sell material to other contractors?*

46. What is the name, title, and address of the Officer in the Company who is designated to receive the Company's Contractor Performance Score (CPS):

Thad A. Preslar- President- 1104 W. McGregor St., Pageland, SC 29728

The Officer named above, is required to **HAVE** read the on-line document entitled *CPE POLICIES & PROCEDURES*, which is available on the SCDOT Internet website using the following link:

[http://www.scdot.org/doing/contractor\\_Prequalified.aspx](http://www.scdot.org/doing/contractor_Prequalified.aspx)

On page 16 of this application, the officer designated above must sign a notarized statement, which states that the Officer has read and fully understands the affect that the **CPE POLICIES & PROCEDURES** may have on the Company's ability to bid on SCDOT projects.

47. In the table below, enter the NUMBER OF PIECES of each type of operational construction equipment that is owned or leased by the Company.

This table must be filled in, attachments are not accepted.

Type of Equipment	O	L	Type of Equipment	O	L	List Addition Equipment (if required)		
						Type of Equipment	O	L
Truck w/Aerial Lift			Pugmill					
Truck w/pole trailer			Joint/Crack Sealer					
Truck, Articulated			Rock Crusher					
Truck, Dump			Rock Saw					
Truck, Flatbed			Roller, Rubber-Tire					
Truck, Off-Road Dump			Roller, Sheepsfoot					
Truck, Pickup			Roller, Vibratory					
Truck, Service/Lube/Fuel			Aerial Lift					
Truck, Tanker			Air Compressor					
Truck, Tractor Trailer			Barge/Safety Boat					
Truck, Utility/Box			Br. Deck Snooper					
Truck, Water			Crane, Rubber Tire					
Trailer, Cable/Wire			Crane, Track					
Trailer, Equipment			Concrete Breaker					
Trailer, Lowboy			Generator					
Trailer, Pole			Jack Hammer					
Trailer, Utility			Industrial Heater/Cooler					
Backhoe, Rubber Tire			Pile Driving Equipment					
Backhoe, Track			Jack & Bore Equipment					
Bulldozer			Pipe Fusion Machine					
Drag-Line			Pipelayer					
Milling Machine			Trench Box					
Motor Grader			Trencher					
Pulverizer			Cultivator/Tractor					
Road Widener			Hydroseeder					
Scraper/Pan			Logging/Clearing Equip.					
Skip Loader			Mower					
Soil Stabilizer			Chipper					
Asphalt Paver			Tub Grinder					
Asphalt Plants			Bridge Painting Equipment					
Grinder			Dust/Paint Contain. Equip.					
Reclaimer			Sand/Shot/Water Blaster					
Sweeper/Broom			Skid Steer/Bobcat					
Liquid Asphalt Sprayer			Crack Sealing Equipment					
Chip Distributer			Line Painting Equipment					
Cold Planer			Arrowboard					
Concrete Barriers			Message Board					
Concrete Batch Plant			RPM Application Equip.					
Concrete Br. Deck Screed			Thermoplastic Applicator					
Concrete Deck Finisher			Truck-Mounted Attenuator					
Concrete Dowel Bar Drill			Light Tower					
Concrete Floats			Power Washer					
Concrete Forms			Snow Plow					
Concrete Mixer, Truck			Motar Mixer					
Concrete Mixer, Portable			Forklift					
Concrete Slipform Paver			Storage Container					
Slipform, Curb/Barrier			Tool Storage Container					
Concrete Pump			Construction Office/Trailer					

**WORK CODES FOR CONTRACTOR WORK EXPERIENCE FOR USE IN QUESTIONS 48, 49, & 50  
(USE ONLY WORK CODES FOR WORK PERFORMED BY YOUR COMPANY'S OWN FORCES AND EQUIPMENT)**

Code	Roadway Experience	Code	Highway Structures Experience	Code	Miscellaneous (Continued)
2	Road Construction - New	40	Bridges - New	68	Fencing
3	Roadway Widening	41	Bridge Replacement & Apprs.	69	Hardscapes or Streetscapes
4	Asphalt Paving & Resurfacing	42	Bridge Rehab & Widening	70	Hauling Construction Material
5	Asphalt Surf. Treat. (Chip Seal)	43	Bridge - Emergency Repair	71	Hazardous Material Removal
6	Full Depth Reclamation	44	Bridge Cleaning and Painting	72	Horizontal Jacking & Boring
7	Base & Subbase Work	45	Bridge Jacking	73	Impact Atten. / Crash Cushions
8	Catch Basins, Manholes, etc	46	Bridge Joint Install. or Repair	74	Landscaping & Planting
9	Clearing and Grubbing	47	Concrete Patching - Structures	75	Milled-In Rumble Strips
10	Concrete Paving - Roadway	48	Dredging or Channel Clearing	76	Mowing or Vegetation Control
11	Concrete Rdwy Joint Seals	49	Drilled Shafts or Pile Foundation	77	Noise Barriers
12	Concrete Sdwks & Driveways	50	Mech. Stabilized Earth Walls	78	Overhead Sign Structures
13	Crack Sealing - Asphalt Rdwy	51	Non-AC Overlay - Br. Decks	79	Drainage & Pipe Installation
14	Curb or Curb & Gutter	52	Pile or Drilled Shaft Testing	80	Shotcreting
15	Roadway Excavation & Grading	53	Rebar - Placing & Tying	81	Sand, Shot, or Water Blasting
16	Asphalt Roadway Patching	54	Retaining Walls - CIP	82	Soil Stabilization
17	Concrete Roadway Patching	55	Structure Excavation	83	Traffic Control Services
18	Guardrail - Stl Bm or Cable Barr.	56	Structure Removal	84	Waterproof. - Substr. or Deck
19	Macro-surfacing	57	Temporary Bridges	85	Railroad Construction
20	Micro-surfacing	58	Culverts - New	90	Airport Runways & Taxiways
21	Milling & Grinding	59	Culverts - Replacement	91	Building Demolition & Removal
22	Pavement Markings		Miscellaneous Experience	92	Buildings - New or Rehab.
23	Raised Pavement Markers	60	Brick, Block, Stone Masonry	93	Site Prep
24	Roller Compacted Concrete	61	Camera & ITMS Cable	94	Concrete Work - Non-Rdwy
25	Roadway Lighting	62	Cofferdams & Sheetpiling	95	Industrial Painting
26	Traffic Signals	63	Concrete Barriers - CIP	96	Marine Docks, Fenders, etc
27	Traffic Signs - Permanent	64	On call services	97	Paving & Resurf. - Non-Highway
28	Perm. or Temp. Vegetation	65	Environmental Mitigation	98	Public Utilities Install & Repair
29	Shoulder Widening	66	Epoxy/Grout Crack Injection	99	Storm Debris Remove
30	Design-Build Projects	67	Erosion Control	100	Water or Sewer Plants

Note: The Department will assign the work experience classification of General Contractor based on diversity of experience and the number and size of completed projects.

**PLEASE DO NOT REMOVE THIS PAGE FROM THE APPLICATION**



49. As of the date of the preparation of this application list the LAST FIVE (5) construction projects the Company has completed as a prime contractor OR a subcontractor.

*This table must be filled-in or only a table with the same headings may be substituted.*

Contract Dollar Amount	Worked as a Prime or Subcontractor	Type of Work Performed (use codes)	Date Company's Project Work Completed	Name of Project Owner Contact Person: Name Address Telephone No.	Project Reference File or No.

50. List the THREE (3) LARGEST construction projects in the history of the Company that were completed as a prime contractor OR a subcontractor Do not include projects listed in Question 49.

*This table must be filled-in, or only a table with the same headings may be substituted.*

Contract Dollar Amount	Worked as a Prime or Subcontractor	Type of Work Performed (use codes)	Date Company's Project Work Completed	Name of Project Owner Contact Person: Name Address Telephone No.	Project Reference File or No.





**AFFIDAVIT**

**Note: The name of the person being sworn and executing this Affidavit must be in the table in Question 52, on the previous page, and must have authority to approve, sign, and execute Code 1 documents as defined on the preceding page.**

Thad A. Preslar, BEING DULY SWORN, IS DEPOSED AND SWEARS THAT HE/SHE IS AUTHORIZED TO EXECUTE THIS AFFIDAVIT FOR AND ON BEHALF OF THE APPLICANT COMPANY, AND THE ANSWERS TO THE FOREGOING QUESTIONS, AND FURTHER THAT ALL STATEMENTS HEREIN CONTAINED, TRUE AND ARE CORRECT TO THE BEST OF HIS/HER KNOWLEDGE.

Sworn and subscribed to before me on this 5th day of December, 2014

Lynches River Contracting, Inc.

(Print Name of Applicant Company)

Thad A. Preslar

(Authorized Signature)

Janie Auret  
\*\*(Notary Public Signature above)

Thad A. Preslar

(Print Name)

\*\*Notary cannot be an Officer of the Company

Janie Auret  
(Print Notary Public Name above)

President

(Print Title)

Notary Public for

County of Union

State of North Carolina

My Commission Expires:

10/16/2016



Notary Public seal is not required if all Notary information lines are filled out.

**ACKNOWLEDGEMENT**  
- of the  
**SCDOT CONTRACTOR PERFORMANCE EVALUATION**  
**POLICIES and PROCEDURES**

By signing this form as an Officer of the Company named below, I have read and fully understand and acknowledge the *SCDOT Contractor Performance Evaluation Policies and Procedures* document posted on the SCDOT Internet website; and further, that I fully understand its impact on the Contractor's ability to bid on SCDOT construction projects.

Lynches River Contracting, Inc.

\_\_\_\_\_  
(Print the Name of the Company)

Thad A. Preslar

\_\_\_\_\_  
(Print Name of Officer)

President

\_\_\_\_\_  
(Print Title of Officer)



\_\_\_\_\_  
(Signature of Officer)

*NOTICE: Notary cannot be an Officer of the Company.*

Subscribed and sworn to before me

on December 5th 2014

Janie Auret

Printed Name of Notary

Janie Auret

Signature of Notary

Notary Public for

County of Union

State of North Carolina

My Commission Expires:

on October 16th 2016



*Notary Public seal is not required if all Notary information lines are filled out.*

**ACKNOWLEDGEMENT**  
**of the**  
**PRIME CONTRACTOR'S RESPONSIBILITIES**

**IF THERE IS ANY CHANGE TO THE INFORMATION PROVIDED IN THIS APPLICATION AFTER IT HAS BEEN SUBMITTED, IT IS THE SOLE RESPONSIBILITY OF THE CONTRACTOR TO SUBMIT A WRITTEN DOCUMENT ADVISING THE DIRECTOR OF CONSTRUCTION'S OFFICE OF THE CHANGE WITHIN FIFTEEN (15) DAYS OF THE OCCURRENCE OF THE CHANGE.**

**IT IS THE SOLE RESPONSIBILITY OF THE CONTRACTOR TO RENEW ITS PREQUALIFICATION BEFORE THE EXPIRATION DATE OF THE CURRENT CERTIFICATE.**

**By signing this form as an Officer of the Company, I acknowledge and accept the responsibilities stated above on behalf of the Company named below:**

\_\_\_\_\_  
Lynches River Contracting, Inc.

(Print Name of Company)

\_\_\_\_\_  
Thad A. Preslar

(Print Name of Officer)

\_\_\_\_\_  
President

(Print Title of Officer)

\_\_\_\_\_  
*Thad A. Preslar*

(Signature of Officer)

*NOTICE: Notary cannot be an Officer  
of the Company.*



Subscribed and sworn to before me

on December 5th 2014

\_\_\_\_\_  
*Janie Auret*  
Printed Name of Notary

\_\_\_\_\_  
*Janie Auret*  
Signature of Notary

Notary Public for  
County of Union

State of North Carolina

My Commission Expires:

on October 16 2016

*Notary Public seal is not required if all Notary  
information lines are filled out.*

