

SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
1	2013ANE0310	13-0502	1/2	Exploitation	Same incident at 2013-307 and 2013-321. This allegation states that consumer was made to help restrain another victim listed here who was acting out. Parent of housemate wants her child moved.	3 DSP's placed on admin leave 7/8/13. No P/P violation.	Final report is from Ombudsman-not verified on 8/21/13-Duplicate SLED and incidents reports for same event.	Ombudsman	No	Family	7/8/2013	7/12/2013	Standard of Care Concerns Not Verified	Yes	Duplicate SLED and incidents for same event. 2013-307, 2013-310, and 2013-321. Victim wants to move. Habitual Complainer.	UNKNOWN	7/9/2013	7/20/2013	8/21/13	UNKNOWN	13	43
2	2013ANE0321	0	1/1	Physical	Consumer had a small nick/abrasion on cheek. Reports ranged from cut from shaving to mother told him to say he fell, to another consumer, to staff member. Visit to Doctor's Care-Neosporin was administered.	No p/p violation	Recommended a move to another facility with same provider. Consumer will be moved by 7/31	Ombudsman	No	Family			Standard of Care Concerns Not Verified	Yes	Duplicate SLED and incidents for same event. 2013-307, 2013-310, and 2013-321. Victim wanted to move. Habitual Complainer.	07/07/2013	7/15/2013	7/25/2013	8/21/13	8	11	37
3	2013ANE0338	13-0547	1/2	Psychological	Victim alleged that two staff threatened to beat him up and cursed him on 7/21/13.	Per logs, victim was demonstrating behaviors of verbal aggression on 7/21/13. Victim was transferred on 7/30/13. Not substantiated.	No p/p violations.	Ombudsman	No	Victim	7/24/2013	7/26/2013	Standard of Care Concerns Not Verified	Yes	Habitual Complainer.	07/21/2013	7/25/2013	8/1/2013	9/4/13	4	7	41
4	2013ANE0345	13-0565	1/1	Sexual	Inappropriate touching by a staff member, delayed reporting by another staff member for two days. Victim has barriers to communication.	Corrective actions against two staff for failure to report ANE timely. Subject transferred to a new residence.	Ombudsman did not complete an investigation, follow-up with that agency on 3/26/15.	Ombudsman	No	Staff	7/29/2013	8/8/2013	Case Closed by Other Agency	No	Dropped case by outside investigator. Unduly long reporting gap. Habitual Complainer.	07/27/2013	7/30/2013	8/8/2013	3/26/2015	3	10	604
5	2013ANE0360	0	1/1	Physical	Allegation that staff jerked shirt off consumer and took her outside and grabbed her arm and cursed her.	Victim changed her shirt prior to day program. At program, victim alleged staff ripped it off and yelled at her. She was afraid to return home and wants to move. Statements in Behavior log that victim wants to move and was going to jump on staff.	Victim has issues of aggression. No violation of p/p. Subject moved to new facility on 9/30/13 and will receive training on ANE.	Ombudsman	No	County Board		8/21/2013	Standard of Care Concerns Not Verified	Yes	Victim wanted to move. Retaliatory	08/08/2013	8/9/2013	8/21/2013	11/5/13	1	18	88
6	2013ANE0365	13-0593	1/1	Physical	Victim was found with mark on left eye (black eye). Documented after a visit to Doctor's Care.	Due to unknown origins of bruise, Mentor reported to SLED. Victim has 15 minute interval checks, but mark on eye was documented on 8/9/13 but not communicated to house	Victim has a history of self abusive behaviors. Victim is non-verbal but mobile. Unknown bruise.	Ombudsman	No	staff			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		UNKNOWN	8/13/2013	8/22/2013	9/4/13	UNKNOWN	10	22
7	2013ANE0380	0	1/1	Physical	Consumer mistakenly went to Cracker Barrel for a job interview on the wrong date. Consumer became irate and aggressive when told to leave. Staff restrained consumer and his nose was injured. Consumer was taken to Med Care and told to apply ice. No injuries reported by nurse at follow up on 8/22/13	Victim called LLE to report abuse, so no SLED number. LLE could not find enough evidence for prosecution. Recommended ANE reporting education to subject. Also recommended staff follow-up with businesses prior to going on	Consumer is bipolar and has issues with physical and verbal aggression. Not verified	LLE	No	Victim	8/21/2013	9/25/2013	Case Closed by Other Agency	Yes		08/21/2013	8/22/2013	9/3/2013	9/24/13	1	12	33
8	2013ANE0381	13-0619	1/1	Physical	Fight between consumers. Staff intervened and was assaulted and alleged to hit victim with a frying pan. Charges are pending against victim. After hospital discharge, victim alleged subject abused him during the incident.	Victim suffered a laceration above the nose that required stitches and a broken wrist. Victim was moved to this facility, on 7/30/13, due to conflicts with former housemate. Statement from subject that she did grab the frying pan, but did not use it.	Other house members confirmed subjects account. SLED took report for information only. Consumer has issues with aggression. Victim transferred on 8/30/13. Staff did not follow BSP after victim was agitated on trip home to gain more staff. Subject terminated 9/26/13 for failure to follow procedures with reporting behaviors in a timely manner and not following BSP. LLE administratively closed case.	Internal	Yes	Witness	8/22/2013		Case Closed by Other Agency	Yes		08/22/2013	8/23/2013	9/5/2013	9/25/13	1	19	33
9	2013ANE0395	13-0644	1/1	Physical	Victim escalated when wanting Chinese food, but had no money. Staff said 'no' and victim became aggressive towards staff. Staff attempted to get victim in the car and the door struck victim in the eye. Victim struck staff and bit her. Staff also bit victim on the foot in an attempt to get her to release her.	Victim did not want to press charges as staff was defending herself. Victim transferred to new residence. Failure to follow 534-03-DD by program director.	Mentor licensure under review. No note as to reinstatement. LLE just documented incident.	LLE		Witness	9/3/2013		Case Closed by Other Agency	No	Unduly long reporting gap.	09/01/2013	9/3/2013	9/11/2013	3/23/15	2	9	566
10	2013ANE0416		1/1	Physical	Consumer became irate when staff would not let him use the oven. Consumer grabbed a spoon and attempted to hit staff. When subject took the spoon, consumer called LLE, which came on site but did not file a report. Consumer then complained of injuries and was taken to Doctor's Care-No injuries	LLE closed case as unfounded. Failure to follow Behavior Support Plan and promote Dignity and Respect. Unclear as report showed he followed policy.	Subject returned to work 9/26/13, but to a different residence. Victim no longer wants to be supported by subject.	LLE	No	Victim	9/16/2013	9/26/2013	Unsubstantiated /Criminal/Unfounded	No	Retaliatory	09/15/2013	9/17/2013	9/27/2013	9/26/13	2	10	9

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11	2013ANE0453	0	1/1	Physical	Family reported observing a bruise on victim's upper right arm during home visit. Staff documented bruise prior but did not report it to house manager.	Bruise was documented from 10/8/13-10/11/13. Victim did not know how he got the bruise. There was an altercation between housemates and victim was grabbed by housemate.	Housemate transferred to new home on 10/23/13. Written warnings to staff for failure to report. DDSN contacted LLE on 3/26/15 for final report. LLE never received report to work. (A.N. Write up states investigation went to Ombudsman).	Ombudsman	No	Family			Case Closed by Other Agency	No	Dropped case by outside investigator. Unduly long reporting gap. Habitual Complainer. Should not be listed as closed.	UNKNOWN	10/14/2013	10/24/2013	none	UNKNOWN	10	open case
12	2013ANE0472	13-0778	1/1	Physical	Mother found bruise on victim's left arm. Incident on 5/18/13 between victim and housemate resulted in him being grabbed. Victim stated housemate caused bruising to Ombudsman. Housemate transferred and victim on extended home visit with family.	Ombudsman referred to LLE after calling SLED 10/24/13.	Housemate transferred to new home on 10/23/13. DDSN contacted LLE 3/26/15 for final report. LLE never received report to work. (A.N. write up states it went to Ombudsman).	LLE	No	Family			Case Closed by Other Agency	No	Dropped case by outside investigator. Consumer on Consumer allegation. Unduly long reporting gap. Habitual Complainer. Should not be listed as closed.	UNKNOWN	10/22/2013	11/4/2013	none	UNKNOWN	13	open case
13	2013ANE0482	13-0790	1/1	Physical	Allegation: Staff dragged victim back to car after the movies by her arms. Victim had abrasions on the top of her right foot and bruising on the outside of her right ankle. She also had scratches and deep cut on her right arm. Lexington Medical Center confirmed injuries.	BSP was not followed which stated not to take victim to crowded places if she is resistant. Staff violated procedure by not reporting to SLED on 10/26/13. Subject terminated 11/14/13.	SLED told staff to call LLE. LLE lost intake and never investigated.	LLE	Yes	staff	10/27/2013		Case Closed by Other Agency	Yes	Dropped case by outside investigator. Unduly long reporting gap. Habitual Complainer.	10/26/2013	10/28/2013	11/7/2013	5/6/15	2	15	555
14	2013ANE0542	13-0898	1/1	Neglect	During 3rd shift, victim left the house and was struck by a car and later died from injuries. Vehicle left the scene. Staff stated that victim left while she was in the bathroom. Logs showed improper documentation. Victim had a history of elopement.	Staff terminated for improper documentation 12/20/13. There was a working sensor in the home.	LLE showed logs pre-filled out for accountability checks.	LLE	Yes	staff	12/8/2013		Case Closed by Other Agency	No	Unduly long reporting gap.	12/08/2013	12/9/2013	12/20/2013	3/23/15	1	28	469
15	2013ANE0544	0	1/1	Sexual	victim stated to staff on 12/8/2013 that housemate had been coming in his room at night and raping him. No history of sexual issues with subject since placement in 1999.	Victim has history of aggression. Has been moved to 3 different houses. Victim was arrested for aggression on 12/5, released and moved to another facility on 12/6, and placed modified 12/6/13.	Increased supervision levels for both parties and in separate facilities.	LLE		Staff			Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer. Violent victim housing placement concern. Unduly long reporting gap. Consumer on Consumer.	UNKNOWN	12/9/2013	12/20/2013	3/26/15	UNKNOWN	14	472
16	2013ANE0560	0	1/1	Physical	Victim attacked subject, hit her in the head twice and placed her in a chokehold. Subject attempted to bite victim on the arm to stop the chokehold. Victim then pulled out a knife and began threatening subject. LLE called and victim arrested. Once out on bond, he alleged that subject bit him. Self-defense, taken for information only.	Victim has a long history of angry outbursts. He was upset due a restriction placed on him for a previous action. Being sent home after behavior escalated. During attack, someone walked in and called 911.	Victim was transferred to a high management home on 12/19/14. Subjects home license closed on 1/23/2014. Employee terminated 1/23/14. Failure to follow BSP and Mentor procedures.	LLE	Yes	Witness	1/20/2014		Case Closed by Other Agency	No	Violent victim housing placement concern. Retaliatory	12/17/2013	12/20/2013	1/10/2014	1/31/14	3	21	42
17	2013ANE0561	0	1/1	Physical	Mother reported Victim had bruising on his shoulders. Program director reported to SLED. It was dry skin.	No incidents on tracking to support bruising. Victim unaware of how he got bruises. Staff and house manager all stated a discussion with mother and aunt on 12/26/13 that there was only dry skin on victim shoulders.	No p/p violation. Follow-up with PCP no later than 1/13/14.	Internal	No	Family			Case Closed by Other Agency	Yes		12/25/2013	12/27/2013	1/14/2014	12/30/13	2	18	3
18	2014ANE0022	0	1/1	Physical	Victim was tripped by staff when trying to exit the home. Victim was walking around the neighborhood and neighbors called the police. Victim also alleged staff cursed him and placed him in a headlock trying to get him back to residence. Victim did have a scrape on his hand documented by nurse.	Per log, victim wanted to walk to another home, staff asked him to wait until other facility was contacted and approved. Request was denied and victim said he was going anyway. He told neighbors to call 911, once he got	Staff documented he tripped/attempted to stop victim from leaving. Recommendation of education and training.	LLE	No	Family	1/18/2014	2/20/2014	Unsubstantiated /Criminal/Unfounded	Yes	Unduly long reporting gap. Habitual Complainer.	01/17/2014	1/20/2014	1/31/2014	6/20/14	3	14	151
19	2014ANE0034	14-0049	1/1	Physical	Staff attempted to wake victim and grabbed his foot and locked it against her chest area. Victim stated he pushed her off and ended up kicking her in the face. Victim changed his story during subsequent interview. Subject stated she attempted to get victim up so she could take resident to workshop, but he didn't want to go. He kicked her in the face and stomach, but no injuries.	Victim has a history of aggression and false allegations. Logs did not detail level of aggression by victim. Team recommends termination for reporting violations on 2/7/14	Terminated 2/7/14. Lack of dignity and respect policy verified-attitude.	Ombudsman	Yes	Family	1/24/2014		Standard of Care Concerns Verified (Perpetrator Known)	Yes	Violent victim housing placement concern	01/21/2014	1/27/2014	2/5/2014	4/4/14	6	11	67

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20	2014ANE0043	14-0060	1/1	Psychological	Victim stated roommates were picking on her and then staff. Victim was in the tub with her clothes on and water running. She claimed staff threatened her with a knife if she did not get out of the tub. Later victim was trying to burn herself with hot water and then claimed staff threatened to cut her foot off. Victim taken to ER with negative findings.	Victim has a history of refusing meds in January 2014 and making false allegations. Victim went into the bathroom and locked the door. Staff used a knife to open the door to check on victim who is supposed to be supervised in the bathroom, per her	Case not reported per procedure by house manager, lock on door needs to be updated. Written reprimand Staff transferred to another facility.	Ombudsman	No	Staff	1/30/2014	2/12/2014	Standard of Care Concerns Not Verified	Yes		01/28/2014	1/31/2014	2/12/2014	4/7/14	3	14	66
21	2014ANE0047	14-0063	1/6	Neglect	Subject accused of leaving house for 15-20 minutes- no supervision of high functioning consumers and at least one requires constant supervision in the community and 15 minute checks in the home. All 3 victims said they searched and couldn't find staff or his car. When someone went to the home, they found subject in frog upstairs and he said he didn't hear people calling him.	Policy violation by not providing required supervision. Policy violation for inadequate staffing.	No report from ombudsman nor DSS-APS. CAPSS states they don't have a record of incident.	SS-APS-Dropped		Victim	2/3/2014		Case Closed by Other Agency	Yes	Cased dropped by outside investigator. Unduly long reporting gap. Habitual Complainer. Should not be listed as closed.	01/30/2014	2/3/2014	2/12/2014	none	4	11	open case
22	2014ANE0073	14-0100	1/1	Neglect	Victim arrived at day program with what appeared to be burns on her left hand. Doctor stated burns were due to a fecal matter. Day program staff also reported to SLED.	2/16/14 staff transported victim to Doctor's Care-diagnosed with cellulitis. On 2/15/14 victim had fecal matter under her nails.	No p/p violation. Unknown bruise.	Ombudsman	No	Day Program			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Unduly long reporting gap.	UNKNOWN	2/20/2014	3/3/2014	5/21/14	UNKNOWN	12	90
23	2014ANE0092	14-0171	1/3	Sexual	Victim stated she was raped by 3rd shift staff, then recanted saying she was mad at staff. Victim transported to Urgent Care and provided a rape kit. All three staff on administrative leave.	Victim known for making false statements. Issues with SLED getting report in their system...two attempts by OAM	No p/p violation, LLE closed case as unfounded on 3/19/14, staff reinstated.	LLE	No	Staff	2/28/2014	3/19/2014	Unsubstantiated /Criminal/Unfounded	Yes		02/28/2014	3/4/2014	3/17/2014	3/11/14	4	13	7
24	2014ANE0129	0	1/1	Physical	Staff documented a small bruise on left forearm and small scrape above victim's eye and bruising on upper left forearm. Ombudsman: non-criminal injury, non-criminal failure to report.	House manager failed to report incident properly. Victim's accountability level was not followed in the bathtub due to lack of staff. Victim did report falling in bathtub but did not remember what caused	Policy violation by not timely reporting to SLED. Staffing was increased and fall prevention measures installed in bathtub. House manager written warning for failure to contact SLED. Unknown	Ombudsman	No	staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Habitual Complainer.	03/25/2014	3/31/2014	4/3/2014	4/30/14	6	7	30
25	2014ANE0154	0	2/2	Psychological	Victim claimed staff was verbally abusive, stole his chicken wings, and wanted him to wash his car for \$5. Victim also claimed subject was being physical with another housemate. In a separate conversation, victim stated he wanted subject fired and was upset with him because he asked victim to clean up his	Chicken wings were still in the freezer. Victim has issues of aggression and non-compliance.	No p/p violation. Subject transferred to another location. Case was not accepted by SLED. Internal review only.	Internal	No	Victim	4/9/2014	4/15/2014	Case Closed by Other Agency	Yes	Retaliatory. Habitual Complainer.	04/09/2014	4/10/2014	4/11/2014	4/15/2014	1	5	5
26	2014ANE0155	0	1/1	Physical	Victim was involved in an altercation with housemate and incurred bruises and scratches. Altercation was documented and reported. Program director reported to mother. Victim went home for a visit on 4/11 and told mother injuries were inflicted by house manager and she requested a formal investigation. All staff verified altercation account.	Victim was in an altercation with housemate in which staff, including house manager, had to separate them. Victim had bruises and scratches that were noted at the time and reported to mother.	No disciplinary action. All parties responded appropriately.	Internal	No	Family	4/11/2014	4/22/2014	Case Closed by Other Agency	Yes	Habitual Complainer. Consumer on consumer.	04/11/2014	4/11/2014	4/21/2014	4/22/2014	0	11	11
27	2014ANE0158	14-0273	1/1	Physical	Bruise under victim's arm. Victim stated that staff hurt his arm. Sent to Doctor's Care on 4/14/14, He had an abrasion on his left upper arm.	Subject failed to report incident with victim. Also failed to document her travel destination in the van log.	Written Reprimand, reinstated 5/8/14	LLE	No	Staff	4/14/2014	5/8/2014	Unsubstantiated /Criminal/Unfounded	No		04/14/2014	4/14/2014	4/25/2014	4/29/14	0	14	15
28	2014ANE0162	0	1/1	Physical	While bathing consumer, staff noted 3 small scratches on stomach and one on arm. Documented and notified director who contacted his mother. The mother stated she forgot to cut consumer's fingernails when he was home last weekend. Director reported to QA specialist about the injury of unknown origin and was given a corrective action for not reporting to SLED in proper timeframe.	SLED was not notified until 4/17/14-violation of policy. SLED took report for information purpose only.	Program director received a written warning for not following timely reporting procedures. Unknown injury.	Internal	No	Staff			Case Closed by Other Agency	No	Unduly long reporting gap. Habitual Complainer.	04/14/2014	4/17/2014	4/25/2014	4/28/14	3	11	11
29	2014ANE0171	14-0296	1/1	Physical	Victim had circular wound on his hip. Sent to Doctor's Express. SLED contacted as it was a wound of an unknown origin.		No p/p violations. Unknown bruise.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No		04/24/2014	4/25/2014	5/7/2014	5/30/14	1	18	35

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30	2014ANE0174	14-0302	1/1	Sexual	Victim walked out of the backyard and was found by LLE at which time she made an allegation of sexual abuse against a male staff member. SLED was contacted and took a report for information only. No alleged subject identified. No male staff member at residence.	Victim is level 3 and can be outside alone for 30 minutes at a time. Other residents went inside at 6:30 and victim stayed outside. At 6:45 LLE brought her back to residence. Due to allegation, victim taken to hospital	At the hospital she changed her story to having been assaulted by Jesus who got her pregnant. Staff member terminated for falsifying accountability logs (pre-filing out) 5/2/14.	LLE	Yes	LLE			Unsubstantiated/Criminal/Unfounded	No	Unduly long reporting gap.	04/25/2014	4/28/2014	5/12/2014	3/23/15	3	16	329
31	2014ANE0191	0	1/1	Physical	Bruise on upper inner arm discovered during body audit.		No p/p violations. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Habitual Complainer.	05/08/2014	5/8/2014	5/23/2014	7/8/14	0	25	61
32	2014ANE0194	14-0337	1/2	Physical	Caller stated that victim had two black eyes, bruise across his nose, and a scar on his right jaw that allegedly happened when he fell in the shower. Allegation is that injury reports are falsified to cover staff who is very violent towards consumers. House Manager has instructed staff not to open the door for law enforcement or they will be fired.	Incident log showed scratch on left shoulder on 5/12 which was not called into the area director.	Terminated 6/17/14. Two staff arrested and charged with abuse of a vulnerable adult. Third staff also terminated for not following procedures.	LLE	Yes	Anonymous	5/12/2014		Substantiated/Criminal/Founded (Perpetrator Known)	Yes	Duplicate incident to 14-338. Unduly long reporting gap.	05/12/2014	5/12/2014	5/23/2014	10/3/14	0	21	144
33	2014ANE0206	0	1/1	Physical	Small bruise on left leg below knee detected by staff while assisting victim with shower. Not noticed on prior body audit the day before.	Policy violation of lack of staff in a 2:2:2 household-written warning to house manager.	One report for both ANE206 and 231. Accidental injury of unknown origin is verified.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Habitual Complainer.	05/20/2014	5/20/2014	6/3/2014	8/8/2014	0	15	80
34	2014ANE0211		1/1	Physical	Victim alleged that he was hit in the head two times with a pot by subject. Two prior incidents, 1. victim slammed plate down in sink while staff was washing dishes. Staff explained someone could have gotten hurt. Victim walked out of residence and second staff de-escalated victim. 2. Later, victim came back and threatened to stab subject with a knife. Second staff intervened to block victim from obtaining a knife and victim slapped subject in the face. Then victim called service coordinator to state subject assaulted him. He also contacted house manager that he had been hit with a pot.	Both staff on site noted that victim came downstairs to talk about prior incidents. They both tried to re-direct, but victim struck subject and knocked over a pot of rice that was soaking in the process.	Victim stated he was trying to scare subject as he prefers older staff. No p/p violation	Internal	No	Victim	5/23/2014	5/29/2014	Case Closed by Other Agency	No	Victim wanted to move. Retaliatory.	05/22/2014	5/23/2014	5/29/2014	5/29/2014	1	6	6
35	2014ANE0220	14-0381	1/1	Physical	5/27/24 Victim showed staff marks on her arm and stated housemate threw a chair at her. Staff said marks appeared to be bite marks. Transport to Urgent Care which confirmed probable bite mark. 5/22/14 incident with victim upset and scratched staff in the face and attempted to jump out of moving van.	5/15/14 Victim threw pillow at housemate who, in turn, proceeded to hit and kick victim. Victim fell to floor and glasses fell off her face. Housemate put scratches on victim's arms. Victim also threw a chair at housemate who hit her back with	Policy violation: House manager failed to report timely until 5/18/14. Incident on 5/22/14 was not reported to program and area director. Unknown origin is unverified, since statements all show abuse was from another	Ombudsman		Staff			Standard of Care Concerns Not Verified	Yes	Consumer on Consumer. Habitual Complainer.	05/27/2014	5/28/2014	6/9/2014	7/7/2014	1	22	40
36	2014ANE0221	0	1/2	Physical	Caller stated he witnessed 2 staff beating up victim and hitting him in the head. Due to injuries, forced him into the shower to clean him up and used first aid kit. Staff also brushed victim's teeth roughly causing gums to bleed. Victim confirmed abuse but denied medical attention.	Victim was disruptive and made inappropriate sexual comments towards housemates. He was directed to his room and then became destructive and toileted on his clothes. Victim spit on staff and eventually showered and took	No p/p violations. LLE states unfounded 8/11/14, but has not forwarded closed investigation.	LLE	No	Housemate	5/28/2014	8/11/2014	Case Closed by Other Agency	Yes	Unduly long reporting gap. Habitual Complainer.	05/28/2014	5/29/2014	6/10/2014	8/11/2014	1	13	75
37	2014ANE0231	0	1/1	Physical	During body audit, bruise found on upper inner left forearm.		Victim is on 15 minute visual checks and constant while outside the residence. One report for both ANE206 and 231. Accidental injury of unknown origin is verified. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Habitual Complainer.	06/02/2014	6/2/2014	6/13/2014	8/8/14	0	14	67
38	2014ANE0245	0	1/1	Physical	Victim stated she was pushed by subject. SLED took report for information only and did not vet to any other outside agency.	SLED was informed that alleged subject was not on duty at initial call. Staff meeting with victim changed story that she is upset because her roommate is mean to her and yells at	All staff to attend dignity and respect training.	Internal	No	Victim	6/10/2014	6/14/2014	Case Closed by Other Agency	Yes	Retaliatory	06/10/2014	6/10/2014	6/23/2014	6/23/2014	0	16	13

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	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
39	2014ANE0251	14-0440	1/1	Physical	Victim had greenish-purple bruises on legs and left arm. Victim stated staff hit her because she was supposed to go to her room, but went to front room instead. Staff pushed her and she fell.	Victim had several incidents during the week that escalated to self injurious behavior, property destruction, aggression and attempted elopement	No p/p violations. Case was unfounded	LLE	No	Family	6/14/2014	10/1/2014	Unsubstantiated /Criminal/Unfounded	Yes	Unduly long reporting gap.	06/14/2014	6/16/2014	6/30/2014	10/1/14	2	15	107
40	2014ANE0257	0	1/1	Physical	Victim stated staff hit him in the face when he tried to go out the window, so he bit staff on the arm. Victim was told by subject he could not go outside to smoke, so he broke the window. Subject also asked victim to wash his car and bought him cigarettes in return.	6/16/14 Victim attempted to run away and was re-directed by subject. Victim ran into garage and began to hit the door-no damage. Victim ran into bathroom and broke the mirror then punched two holes in the	Terminated 7/18/14. Case unfounded by LLE, but terminated for separate issue of non-criminal exploitation.	LLE	Yes	Victim	6/17/2014		Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	06/13/2014	6/17/2014	7/2/2014	7/21/14	4	16	34
41	2014ANE0258	0	1/1	Exploitation	Victim stated that subject had not paid him for washing his car-twice	Subject denied statements about not having paid victim for dates in question. However, he did admit to taking victim to corner store and buying him treats in return for previous car wash and had done the	Violation of p/p to accept or receive gifts for gain. Termination 7/18/14. Non-criminal exploitation.	Ombudsman	Yes	Victim			Standard of Care Concerns Verified (Perpetrator Known)	Yes		UNKNOWN	6/17/2014	7/2/2014	7/16/2014	UNKNOWN	16	29
42	2014ANE0289	14-0511	1/1	Physical	Victim fell and had a swollen ankle, first aid was administered. Staff was off for a few days and returned to see ankle still swollen, transported to Urgent Care. Ankle was fractured, follow-up with orthopedist. Victim initially stated she fell in bathroom. On the way to the doctor's office, she stated staff had pushed her. Staff noted victim was upset and hit herself and threw herself on the floor	Victim fell 7/8/14, no apparent bruising. She was asked about medical attention and refused. On 7/12 staff stated victim awoke complaining of ankle pain. Program director advised to take to doctor.	Staff failed to report falling incident to upper mgt. Staff failed to report the allegation made by victim on the way to the doctor. Written warning and further training. Injury due to fall.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes	Habitual Complainer.	07/13/2014	7/14/2014	7/25/2014	7/29/14	1	14	15
43	2014ANE0296	0	1/1	Psychologica	Victim stated that staff pulled a gun on him and threatened to shoot him because he didn't want to go to the movies. Caller advised there was not a gun, but that victim was known for false allegations.	Victim became agitated and walked away from the house in the afternoon. LLE was called and was at the home when victim returned. Victim alleged misconduct in discussion with house manager	No p/p violation. Victim was angry and retaliated against staff.	LLE	No	staff	7/15/2014	7/23/2014	Unsubstantiated /Criminal/Unfounded	Yes	Retaliatory	07/15/2014	7/16/2014	7/28/2014	7/23/14	1	12	7
44	2014ANE0297	0	1/1	Physical	Small red mark on back of victim's leg discovered while bathing. Victim stated he had fallen backwards and bumped his leg	SLED notified for information purposes.	Information report only. No p/p violation. Unknown injury.	Ombudsman	No	Staff			Other Agency Investigating	Yes	Unduly long reporting gap. Habitual Complainer.	07/16/2014	7/16/2014	8/1/2014	8/4/2014	0	19	19
45	2014ANE0315	14-0534	1/1	Physical	Victim awoke with right eye swollen. Staff notified house manager. Victim transported to hospital and treated for cellulitis.	Victim was sleeping on the floor in her roommate's bedroom when awoke with swollen eye. No behavioral concerns. SLED was contacted	Not adequate staffing when incident occurred. Staff should have contacted SLED first, but contacted house manager first instead. Not verified, injury is of an	Ombudsman	No	Staff			Standard of Care Concerns Not Verified	Yes		07/20/2014	7/21/2014	7/29/2014	8/5/14	1	10	15
46	2014ANE0322		1/1	Physical	Staff reported that subject had a taser and was told by a 3rd party (friend of subject) that subject uses it on consumers to get them to act right. Staff reported hearing victim screaming and a sound that he did not recognize. Victim has limited speech ability, but became very agitated when shown a taser by investigator. 2d housemate stated he never saw taser but has heard zapping sound and victim yelling 'leave me alone.'	Subject had a non-employee at the resident-confidentiality concerns. Concealed weapon statements that support abuse.	Physical and Psychological. Terminated 8/4/14, investigator seeking warrant for subject's arrest. 7/25/16 provider submitted addendum to show subject was charged for knowingly and willingly using a taser around victim. Warrant was issued on or about 8/11/14 and provider did not close report until 7/25/16.	LLE	Yes	Staff	7/22/2014		Other Agency Investigating	No	Unduly long reporting gap	07/22/2014	7/22/2014	8/4/2014	7/25/16	0	14	733
47	2014ANE0326	0	1/2	Physical	Victim told staff that subject staff punched him in the eye while he was having a tantrum. Victim was having behavior about going to the bondsman. Victim argued with housemate and then turned on staff. When he lunged at one staff member, subject grabbed victim and he fell and hit his face on the fireplace. Victim proceeded to curse staff and knocked off a tv and broke a bookcase.	Subject failed to report injury or behaviors while on shift and subject2 was named in an abuse allegation.	Both Subjects receive written corrective action for failure to report behavior and both will attend CPI training.	LLE	No	Staff	7/24/2014	8/1/2014	Unsubstantiated /Criminal/Unfounded	No		07/24/2014	7/24/2014	8/1/2014	8/1/14	0	11	8

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48	2014ANE0327	0	1/1	Physical	Victim stated that staff choked him and knee'd him in the stomach. Victim transferred to Urgent Care for observation-no visible indications of attack. Behavior tracking showed that victim had an intent to formulate an allegation of abuse against subject.	Subject denied allegations and stated that victim had told him that he was going to say that subject had abused him because he didn't want him to work in the home.	Closed as unfounded. No p/p violations	LLE	No	Staff	7/24/2014	8/1/2014	Unsubstantiated /Criminal/Unfounded	Yes	Retaliatory. Habitual Complainer.	07/24/2014	7/24/2014	8/1/2014	8/1/14	0	11	8
49	2014ANE0332	14-0569	1/1	Physical	Victim told house manager she was molested in middle or high school by teacher who touched her finger the wrong way and now her finger doesn't feel right. Teacher also changed into another named teacher. Report made to SLED, who suggested victim	Victim is scheduled for drug review.	No p/p violations. Both SLED and LLE informed. Not an allegation against SC Mentor. Old allegation of assault.	LLE	No	Staff			Other Agency Investigating	Yes	Unduly long reporting gap.	07/28/2014	7/28/2014	8/7/2014	none	0	11	open case
50	2014ANE0345	14-0591	1/1	Physical	Victim complained of shoulder hurting and it had a bruise on it. SLED notified about a bruise of unknown origin. Transported to Urgent Care and victim diagnosed with closed fracture of the clavicle. There was an incident on 7/25/14 where victim punched a wall that was not reported to QA. Victim refused medical treatment on that date. He stated he was upset about not going home.	Per staff on 7/25/14 victim tried to elope from house and hit the back of her car several times. He tripped over his shoe strings trying to come back in the house. Then he began punching holes in the wall. House manager was called and calmed him down.	Program director did not provide information to area director or QA manager at the time of the incident. Corrective measures for failure to report in a timely manner. Unknown injury.	Ombudsman	No	Victim			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		08/05/2014	8/5/2014	8/18/2014	8/25/14	0	14	20
51	2014ANE0359	0	1/1	Psychological	Victim told program director that house manager had threatened him. Reported to SLED. Subject placed on admin leave. Victim called house manager on 8/13/14 stating he was sorry about what happened and he will give subject another chance and they can stop investigating now.	Behavior tracking showed victim was upset about being dropped at water park early due to housemate having a dental appointment. Victim then made several calls to girlfriend and to mentor. Other staff member heard him state he was going to try and get subject fired.	Meeting with girlfriend was re-scheduled and victim was transferred closer to her on 8/15/14. No p/p violations. Reinstated 8/13/14. Type listed as physical, but it was a threat-SIG changed to psychological.	Ombudsman	No	Staff	8/12/2014	8/13/2014	Standard of Care Concerns Not Verified	Yes	Retaliatory. Unduly long reporting gap. Habitual Complainer.	08/12/2014	8/12/2014	8/22/2014	11/13/14	0	10	93
52	2014ANE0360	0	1/1	Physical	Victim had a bruising on her forearm that was discovered during a body skin check. Victim was noncompliant in getting up for check. SLED was notified and victim was transported to hospital for further care. Victim did not know how she got the	Accidental injury of unknown origins. Later review showed that incident took place in Greenville. Victim was a recent transfer to Columbia.	No p/p violations. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes	Issue originated before transfer to Mentor in Columbia-was there follow-up, or just closed? Different Provider	08/11/2014	8/12/2014	8/22/2014	9/16/14	1	13	35
53	2014ANE0362	0	1/1	Sexual	Family member reported that victim had a swollen wrist and he indicated it was caused by staff members. He did not need medical attention. He also stated that two weeks prior he had poked himself in the eye with a cigarette and staff neglected to give him medical attention. He also reported that a male staff member requested that victim provide	upon interview, victim stated no staff had hurt him or touched him inappropriately. Victim had 3 documented behaviors, none involved allegations.	There were also neglect and physical allegations. No p/p violations, no notes as to investigating authority.	Ombudsman	No	Family			Case Closed by Other Agency	Yes	Should have been multiple allegations for this report. Habitual Complainer.	UNKNOWN	8/14/2014	8/22/2014	9/15/2014	UNKNOWN	8	32
54	2014ANE0364	0	1/1	Physical	Staff reported bruise on victims forearm that was noticed during shower. VAIU Intakes 14-0242; 14-0339; 14-0365 and 14-0406 SLED noticed reveal similar patterns of finding unexplained injury and may occur when victim is escorted and helped on/off van. Victim has an unsteady gait.	Staff failed to document all physical guidance given to victim during the day and will receive written warnings. House manager did not report to supervisor when called by staff. Staff did not call SLED.	Accidental injury of unknown origin is verified. Staff to receive ANE training. Physical guidance to be documented at every occurrence.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	SLED noted correlation between incidents. Instead of training on victim care, staff received more logging duties. Mentor issue. Victim had 10 incidents in time frame all injuries of unknown origin. Unduly long reporting gap. Habitual complainer.	08/14/2014	8/14/2014	8/26/2014	11/13/14	0	13	91
55	2014ANE0380	14-0654	1/1	Physical	Staff noted bruising on victim during bathing. No incidents in log. Victim has a condition that causes easy bruising. Dr. appt set for 8/26/14 for evaluation and SLED was informed. Dr. diagnosis-echymossi and left great toe pain. Victim did not know how he got	Program director was not called for the unexplained injury. Staff was supposed to do that even though they called house manager.	Accidental injury of unknown origin is verified.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Habitual Complainer.	08/25/2014	8/26/2014	9/8/2014	11/13/14	1	14	79

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56	2014ANE0387	0	1/1	Physical	Victim transported to hospital for subungual-hemotoma of the finger and a human bite on his forearm. Issues began with day care staff accused victim of being inappropriate with female client. He thought she was his girl friend. Staff at home spoke with victim about being appropriate with female and he became agitated again. Staff placed hands on his shoulders asking him to calm down and sit. Victim became aggressive and staff had to bite him on forearm to get him to release him from choking staff.	Victim stated it was all a misunderstanding and requested to return home with staff. First behavior incident in 3 years. SLED took report for information only.	Staff used unapproved/inappropriate response when he bit victim from a choke hold. Victim is being transferred to a different day program. No substantiation from LLE.	LLE		Staff				Case Closed by Other Agency	Yes		08/26/2014	8/28/2014	8/29/2014	8/29/2014	2	5	1	
57	2014ANE0388	0	1/1	Physical	Victim was picking at housemate and directed to stop. He went outside and touched neighbor's electrical box. He was directed to stop and left the yard. Victim then stated that staff hit him. Victim told staff he was going to get him fired because he wanted old staff back in home so he could get his way. Victim called police and told them he wanted to go to hospital so he could get moved. Victim then decided not to make a report and police left. House manager called SLED and relayed incident. SLED said no need to take a case if LLE did not file a report. Incident relayed to victim's mother.	Called team meeting to address victim's acting out and targeting staff and house members. He stated he didn't care. He was also suspended from his job. Team requested respite from victim. Victim continues to call 911 and police have threatened to get staff arrested.	Victim stated he wanted to go to hospital and they took him. Subject pretended to take a picture of victim with relay box. Language used to calm victim down was inappropriate. Terminated 9/10/14 for violation of dignity and respect policy.	LLE	Yes	Victim					Case Closed by Other Agency	Yes	Retaliatory. Habitual Complainer. Does this consumer need to be escalated to higher care level?	08/26/2014	8/28/2014	9/9/2014	9/29/14	2	13	32
58	2014ANE0389	14-0663	1/2	Physical	Staff observed subject staff dragging consumer and called house manager who stated subject was 'good staff' and had been there 7 years. Both subject staff and house manager were placed on admin leave. Incident reported 8/28/14 and 8/29/14-occurred 7/4/14. No incidents logged in the home and victim	House manager failed to report to SLED or upper management. Initial staff reported to house manager but not to SLED, she will receive ANE training.	Failure to report abuse of a vulnerable adult. Case unfounded-reinstated	LLE	No	Staff	8/29/2014	9/30/2014	Unsubstantiated/Criminal/Unfounded	No		07/04/2014	8/29/2014	9/3/2014	9/30/14	56	5	32		
59	2014ANE0394	14-0667	1/2	Physical	Anonymous caller identified subject as jumping victim and caused enough concern that EMS was called. EMS was told that victim was having behavior problems and sustained the injuries. Victim suffered a broken hip.	Subject2 was not in home on date of incident. Subject with the same first name was in the home and is listed as subject1. Substantiated as to allegation 1/2 but not as to 2/2	Terminated 9/26/14. Subject arrested 9/26/14 Unlawful neglect and abuse of a vulnerable adult. Tied to 2014ANE0399	LLE	Yes	Anonymous	9/4/2014		Substantiated/Criminal/Founded (Perpetrator Known)	No	2014-399 is duplicate report with perp. Unknown as it was an anonymous call into SLED	09/04/2014	9/8/2014	9/19/2014	9/26/14	4	14	18		
60	2014ANE0407	14-0704	1/1	Physical	Anonymous call stated that subject had choked victim. Subject stated that when victim touched her on the shoulder, she thought he was getting fresh, so she choked him. Consumers in facility are threatened that if they tell anyone that they will be dead by the time the police arrive. Victim treated at medical facility, no observed injury, no follow-up.	LLE determined the case was unfounded. Staff worked alone with victim and housemate on second shift. No documented behaviors for subject.	Staff reinstated 10/17/14.	LLE	No	Anonymous	9/14/2014	10/17/2014	Unsubstantiated/Criminal/Unfounded	Yes	Habitual Complainer.	09/14/2014	9/16/2014	9/25/2014	10/17/14	2	10	31		
61	2014ANE0408	14-0705	1/3	Neglect	Anonymous called stated there was no food at facility for victim3 and other residents. Oven door is in need of repair and stays open creating a possible fire hazard. Staff are able to pass out medications without nurse supervision. SLED report 9/18/14. QA manager conducted interviews. Victim was in hospital and had not been at facility since 7/15/14. There were food items present, however Area Director was asked to purchase more to keep home well-stocked. Oven door would not close.	Area Director purchased food and new oven on 9/18/14. Staff noted oven has been broken since July and had been times when food supply was inadequate and they follow chain of command for corrections. Staff is med-tech certified and can pass out medicene.	Staff was inconsistent on time oven was broken, but logs show July 5. It is now replaced. Medication training is in compliance. Ombudsman confirmed findings in regards to food service and equipment disrepair. Facility disrepair.	Ombudsman	No	Anonymous				Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		09/16/2014	9/18/2014	10/1/2014	11/10/14	2	14	53	
62	2014ANE0413	14-0711	1/1	Physical	Staff overheard subject staff threaten to kick victim's bed if he didn't get up. Staff reported to program director and then phoned SLED anonymously. Vetted to Ombudsman	Training on dignity and respect.	Should have been a psychological allegation, no physical contact was made and case was unfounded. Ombudsman verified dignity and respect issues. Marking as unsubstantiated as there was not a physical threat and the psychological one would not rise to criminal level.	Ombudsman	No	Staff	9/18/2014	9/23/2014	Standard of Care Concerns Verified (Perpetrator Known)	No		09/18/2014	9/19/2014	9/30/2014	12/3/14	1	11	75		

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63	2014ANE0420	0	1/3	Neglect	Air conditioner broken at residence since at least Labor Day. Anonymous call to SLED to complain and to state that it had not been fixed because it was not in the budget. 8/21/14 Area Director did a site visit and noticed it was 80 in the house, called in concern and scheduled A/C check. 8/22/14 HVAC tech came to house, but it was vacant as all were at an outing. 8/25 2d attempt, unit working. 9/1 Program director called to request hotel as AC was not working-granted. 9/2 HVAC repair again contacted, 9/3 c checked unit and it was working. 9/4 area director checked with staff, who stated it was still warm in the hottest part of the day. HVAC repair contacted again and told to service the unit even if it appeared to be working. 9/5 HVAC tech cleaned coils and stated a/c was working. 9/17 unit again reported not to be working. Area director contacted HVAC repair and requested estimate for replacement. 9/24 Area director received an estimate. 9/26 unit was	AC had been an issue and was being timely addressed. Now replaced at of 9/26/14	No p/p violations. Facility care concern.	Ombudsman	No	Anonymo us				Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		09/22/2014	9/22/2014	10/2/2014	10/2/2014	0	11	10
64	2014ANE0441	0	1/1	Physical	Victim is non-verbal. Mother noticed three bruises on right lower arm while bathing victim on a home visit. Victim taken to Doctor's Care-Bactroban prescribed. Logged audits showed marks on other body parts. Two behavior logs of self-abuse. House was under-staffed for 1st and 2d shift.	Victim has history of picking and squeezing his arms while making noises. Mother would like to be informed of incidents.	Accidental injury of unknown origin is verified. Staff training on p/p and reporting protocols.	Ombudsman	No	Family			Standard of Care Concerns Verified (Perpetrator Unknown)	No		10/07/2014	10/8/2014	10/10/2014	12/15/14	1	2	68	
65	2014ANE0444	14-0763	1/2	Neglect	Mother of victim learned there was a snake in the home a week prior. Back porch is off limits due to needed repairs. Consumers can only walk in the hallway of home. Logs showed victim on back porch. Snake was discovered by repairman on 10/6/14 on the porch. Log also shows victim going on outings and in the yard. Another allegation of care was with victim using 'thick it' on all his liquids.	10/1/14 housing deficiencies noted by Alliant Reviewer. Work to be completed by 10/28/14	House Manager did not report repairs needed in a timely manner. Standard of care verified without a subject identified. Nurse will conduct training for use of 'thick it.' Facility concerns.	Ombudsman	No	Family			Other Agency Investigating	No		10/12/2014	10/13/2014	10/24/2014	12/5/14	1	15	53	
66	2014ANE0445	14-0766	1/1	Physical	House Manager allegedly put victim in a headlock and cursed at him. Victim was agitated in the home and house manager took him with him for the day. He took him to the office and took him shopping. During that event, he bought victim a pair of shorts. When they returned to the home, victim again became agitated with other staff and wanted to tell them off. House Manager attempt to calm victim down, but was not successful. House Manager then told victim he would have to give the shorts back if he was going to tell staff off. Victim then stated he was going to tell his mother that House Manager cursed him and put his hands on him.	Victim stated that house manager never put his hands on him or cursed him. He had no idea why his mother said those things.	Victim recanted statement made to his mother.	Ombudsman	No	Family	10/13/2014	10/15/2014	Standard of Care Concerns Not Verified	Yes	Retaliatory. Habitual Complainer.	UNKNOWN	10/13/2014	10/23/2014	12/15/14	UNKNOWN	11	63	
67	2014ANE0446	14-0771	1/4	Neglect	Issues of safety in the home with mold, vent covers removed, holes in which snakes and raccoons have entered. Kitchen floor is unsafe.	All repairs must be completed by 11/6/14.	Standard of care violation. Written warnings to house manager and program director. Repairs were completed. Facility concerns.	Ombudsman	No	Ombudsm an			Standard of Care Concerns Verified (Perpetrator Unknown)	No		10/14/2014	10/15/2014	10/27/2014	12/6/14	1	14	52	
68	2014ANE0447	14-0772	1/1	Neglect	Victim's bed is sucken in the middle.	House manager purchased a new mattress for all three housemates on 10/22/14 and frames and box springs on 10/23/14.	Written warning to house manager for not addressing repair concerns in a timely manner. Facility concerns but doesn't rise to criminal neglect level.	Ombudsman	No	Ombudsm an			Standard of Care Concerns Verified (Perpetrator Unknown)	No		10/14/2014	10/15/2014	10/27/2014	11/18/14	1	13	34	

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69	2014ANE0448	0	1/1	Physical	Victim called LLE and claimed that subject staff choked him. Victim transported to hospital for medical evaluation. Due to the wait time, victim became frustrated and requested to leave. LLE arrived at home and could not tell if victim had been choked due to substantial amount of facial hair. Staff stated victim was irate since subject would not give him cigarettes. Victim walked out and used his cell phone, LLE arrived moments later. House Manager arrived and gave staff a pack of cigarettes to pass out to victim 4 at a time.	Victim denied accusation then changed subject to an unknown assailant.	LLE closed case as unfounded.	LLE	No	Victim	10/14/2014	10/27/2014	Unsubstantiated/Criminal/Unfounded	No	Retaliatory	10/14/2014	10/15/2014	10/27/2014	10/27/14	1	13	12
70	2014ANE0449	0	1/2	Physical	Family reported that victim stated he had been choked and had his arm pulled last week by subject staff. Victim has been on home visit since 10/09/14 and family wanted him to stay with them. Victim made a similar claim on the arm 8/12/14 SLED 14-0615. No documented behaviors for victim for October but there a good number in August and	Behavior concerns center around victim wanting to go home to live with his family.	LLE closed case as unfounded. No reinstatement dates listed???	LLE	No	Family	10/16/2014		Unsubstantiated/Criminal/Unfounded	No	Retaliatory. Unduly long reporting gap. Habitual Complainer.	10/15/2014	10/16/2014	10/28/2014	11/9/2015	1	12	389
71	2014ANE0454	14-0799	1/1	Physical	Victim called family screaming that "they hurt me, they beat me." Then had his phone taken away. Family complained. Behavior tracking: 10/2/14 victim was aggressive and wanted to use the phone to call his mother, then laid down. 10/21/14 victim got off phone with his mother and eloped. Staff looked for him and LLE was called. He was found and transported back to house. 10/22/14 2am victim left house while on the phone with his brother. He had just been brought back to home. Staff escorted him back to house. Victim kicked exterior support column separating it from its base and almost striking another person who was outside on smoke break. Staff wrapped his arms around victim's waist and carried him in the house to remove him from on-lookers and remove threat of injury to other housemates. Once inside, victim started swinging the phone, kicking and punching at the staff while stating: 'Help me, they are beating me' in loud tones of distress. Staff removed phone from victim to prevent injury and was struck in the face by the phone. There was an ensuing struggle to contain victim where he was noticed to be bleeding from the mouth. Transported to Doctor's Care on 10/22 for evaluation.	Staff did not follow elopement protocol. 911 should have been contacted immediately. Staff should not have intervened in disruption without adequate staffing. Intervention requires two staff and 911 should have been called for being alone on 3d shift.	House manager should have increased staffing once victim was returned due to elopement. Written warnings for 2d shift for not following elopement guidelines and calling 911. House manager for not increasing staffing. subject needs CPI class.	LLE	No	Family	10/22/2014	12/5/2014	Unsubstantiated/Criminal/Unfounded	Yes		10/22/2014	10/22/2014	11/4/2014	12/5/14	0	14	44
72	2014ANE0490	140847	1/1	Physical	Victim stated she was hit in the chest with a steamer by staff. She was transported to hospital-negative x-rays. Behavior issues started when victim was instructed to clean the kitchen as part of her weekly chores. Staff directed victim to leave the kitchen while others were still eating breakfast. She grabbed the floor steamer from another housemate (her chore) and staff intervened to take it back to prevent victim from harming herself or others. At this point, victim states that staff hit her and she is going to get her fired. Victim ran out the door and went to nearby facility for about 20 minutes. Then she left and went to another facility where she made the allegation of physical abuse. She stated to others that she was going to get subject fired.	LLE officer has encountered victim multiple times. Victim has a history of creating a disturbance whenever she breaks a house rule. LLE found her story to be inconsistent and unfounded.	Unfounded, no p/p violation.	LLE	No	Victim	11/16/2014	11/19/2014	Unsubstantiated/Criminal/Unfounded	Yes	Retaliatory	11/16/2014	11/17/2014	11/18/2014	12/5/14	1	2	18

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
73	2014ANE0502	0	1/1	Physical	Victim stated that staff kned him in the chest around 4pm, reported it to house manager around 7pm. SLED was called. Victim taken to Doctor's Care- contusion on left rib cage. LLE arrived around 8:30, subject already left after shift and victim was asleep (had to be sedated to calm down)	Staff stated that victim had fallen beside ramp earlier and not verbalized any injury. Another staff noted that victim and another housemate had hit each other earlier in the day over who could ride in the front seat of the van. Subject sat in the back of van to monitor.	Victim stated he was going to get staff fired. Housemate confirmed fight earlier in the day with victim and denied seeing subject attack victim. Report unfounded. However, behaviors were not all documented. Two staff terminated for improper documentation.	LLE	Yes	Staff	12/2/2014		Unsubstantiated /Criminal/Unfounded	Yes	Retaliatory. Habitual Complainer.	12/02/2014	12/3/2014	12/12/2014	12/05/14	1	12	2
74	2014ANE0517	14-0907	1/1	Psychological	Victim stated that staff was going to 'knock him *** out' if victim hugged him. Staff stated that victim came up from behind and hugged and caught him off guard. Staff asked victim to stop hugging him and keep his hands off of him because he was staff, but does not remember his exact words. All other housemates in the van reported that staff told victim to get his hands off staff, two of them stated that he	Victim stated he did not want to make a written statement and didn't want staff to get in trouble.	Terminated for violating dignity and respect policy. House Manager written warning about inadequate staff.	Ombudsman	Yes	Staff	12/12/2014		Standard of Care Concerns Verified (Perpetrator Known)	Yes		12/12/2014	12/12/2014	12/18/2014	1/5/15	0	6	24
75	2014ANE0519	14-0912	1/1	Physical	Victim alleges that staff (unknown name) threw her down on the floor and threatened to break her arm. She had no marks or bruises. Transported to Medcare for shoulder and elbow pain. Behavior issues started when staff was assisting victim with putting away her clothes. Victim did not want to fold her clothes and went into the kitchen. Staff redirected her to the dayroom when broke a lamp. Then she went into her bedroom and began throwing her drawers and clothes around the room. She calmed down and began cleaning her room. Later she wanted another cigarette and was refused. She ran into a housemate's room and tried to get out the window. She stated she would get staff fired. Staff calmed her down and gave her meds. The next morning she reported injury to 1st shift staff.	Alleged subject has not been identified. However, it is noted that staff did not follow victim's cigarette schedule. Recommended training. Also staff called program director to report alleged abuse but should have called SLED directly.	Train staff on ANE procedures.	LLE		Staff			Other Agency Investigating	Yes	Retaliatory. Unduly long reporting gap.	12/14/2014	12/16/2014	12/31/2014	none	2	17	open case
76	2014ANE0522	0	1/1	Physical	Victim had a scratch on his neck. Information was called into SLED. No allegation of anyone causing the injury. Urgent care determined cause was human scratches. Behavior issues during the day including screaming and banging windows in the van for about 30 minutes.	Review of logs showed improper staffing in the home. Victim has aggression issues, especially when he is told 'no.'	House manager counseled for improper staffing violation. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		12/18/2014	12/18/2014	1/5/2015	2/17/15	0	19	61
77	2015ANE0003	15-0001	1/1	Physical	Victim reported that subject staff punched her on the right thigh. This was reported to another dsp on 1/1/15. SLED was contacted and victim sent to Urgent Care for evaluation. Subject on admin leave. Minimal bruise noted by Doctor-no treatment ordered.	Subject will remain out on administrative leave without pay status pending the outcome of LLE. The physician noted a minimal bruise to victim's left thigh; however, allegation states victim was hit in her	Case was closed by LLE.	LLE	No	Staff	1/1/2015	3/27/2015	Case Closed by Other Agency	Yes		12/28/2014	1/2/2015	1/15/2015	3/27/15	5	18	84
78	2015ANE0005	15-0008	1/1	Physical	Staff reported bruising on victim's face after she returned from workshop. Other staff noted that it could have been a nick from shaving which was noted on the injury log but not called in. Victim transported to urgent care. Injury was identified to be a rash.	Failure to follow-up on medical concerns and failure to report.	3 written warnings. Ombudsman determined allegations were not verified Unknown injury-rash	Ombudsman	No	Staff			Standard of Care Concerns Not Verified	No		01/02/2015	1/5/2015	1/15/2015	3/11/15	3	15	65
79	2015ANE0018	15-0045	1/3	Neglect	Allegation that resident urinated in the front yard and that trash was blowing out of the trash cans into neighbor's yards. Logs showed no behavior incidents related to complaint. But staff related numerous incidents by one resident that included improper urination and putting trash in the street. Behaviors have not gotten better by that resident. That resident has also exposed himself to staff.	Allegations were verified by staff and one housemate. All staff to have discussions about policy violations and training on supervision levels and incident reporting procedures. 5 staff to receive written warnings.	Non criminal allegation of cleanliness verified and allegation of non-criminal toileting and wandering failure is verified. Facility concerns.	Ombudsman	No	Council Member			Standard of Care Concerns Verified (Perpetrator Unknown)	No		UNKNOWN	1/14/2015	1/28/2015	3/9/15	UNKNOWN	14	54

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

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80	2015ANE0021	15-0047	1/1	Neglect	Victim left inside of van while another housemate was taken inside Doctor's Care. Staff stated they were standing at the front door watching the van. Victim exited the van after 2-3 minutes. Victim stated he was left in the van for 10 minutes. Housemate stated that victim refused to get out of the van. Behavior issues started earlier when victim refused to leave the house, call was made to House Manager who told victim it would impact his ability to participate in other activities. Victim then got in the van but stated he was not going to the doctor but would stay at the other house. Victim did get out of the van and the other house, but was told he could not stay. In route, Victim made several calls and yelled 'stop hitting me ____.' Staff and consumers saw that victim was not being hit. At Doctor's Care, staff watched victim make phone calls in the van and then get out and come inside. Inside he created a disturbance. Staff was called to come and check out due to leaving victim in the van. Victim yelled and cursed staff all the way to check out and staff ignored/didn't respond to victim. Staff at other house had stated that victim could have remained with her, but subject said victim needed to ride so he could be at work at 12. House manager also told subject to leave victim at the other house due to his behavior issues but was told he was going to Doctor's care as other housemate was not feeling well. House manager then asked to be called so she could come	Staff to receive training on dignity and respect and making someone leave their residence when they indicate they do not want to do so.	Written warning for house manager for not providing support when victim did not want to leave the house. 7/25/16 Not accepted by DSS-APS.	DSS-APS	No	Family	1/15/2015	1/29/2015	Other Agency Investigating	Yes	Habitual Complainer. Unduly long reporting gap. Not accepted by DSS-APS 7/25/16	01/15/2015	1/15/2015	1/28/2015	none	0	15	open case
81	2015ANE0031	15-0057	1/2	Sexual	Victim ran from home and was returned by LLE. He stated to LLE that staff had forced him to perform oral sex on staff. Transported to hospital for evaluation. LLE found him on the steps of another facility and victim dropped his pants as LLE approached. Subject admitted to hitting victim per LLE. Investigator stated on 2/5/15 report will be unfounded as wrong subject was entered as well as statement that he struck victim. Per logs staff was out of ratio at 7am. At 7:30 victim eloped, both 911 and program director were called. At 8 03 LLE returned victim who was screaming and shouting allegations towards staff. At the hospital, victim exposed himself to nursing staff. On 1/22 victim was transported to hospital with suicidal concerns, but appeared to be happy at the hospital. He was discharged and put on 1:1. On 1/23 he was	Victim has TBI, and has a history of wanting to visit staff in the orange zone at hospital. Logs showed that staff were out of ratio and program director was not informed of this.	3 staff members terminated for supervision policy violations. Sexual allegation was unfounded.	E/Ombudsma	Yes	LLE	1/23/2015		Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	01/23/2015	1/23/2015	2/5/2015	2/23/15	0	14	31

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

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82	2015ANE0035	15-0067	1/2	Physical	House manager noticed bruise on victim who stated staff pinched her. Victim take to medcare and SLED was notified. Staff placed on adm leave. While Ombudsman was investigating, victim showed her a new bruise 1/29/15. Victim stated that another staff member had pinched her. That staff member was placed on admin leave and SLED again contacted. All under this SLED intake report. 01/28/2015, Staff noted she was getting victim ready for a bath when victim just screamed out "ouch you scratched me on my back." When staff did not even touch her. When victim made that statement the other staff on duty and a staff from another house checked her body and did not see a mark on her. Written up that victim said that comment. Victim also stated that she was going to get staff out of the house like she did other staff. Victim was hitting on herself in her room all that night too. No bruises noted on 1st and 2d shift, but 3rd shift noted two bruises on forearm. Housemate stated victim has been acting out since reviewers came to the house. 1/27 victim slapped herself on the arm and threatened to get staff fired. 1/28 victim went outside without permission, staff redirected her and then victim claimed staff had put her hands on her. Victim kept slapping herself on and off through the night, staff checked, but no injuries. More threats about getting staff fired. 1/29 victim was verbal and physically aggressive with roommates.		No p/p violations, reinstated but one staff moved to another residence for safety reasons.	Ombudsman	No	Staff	1/26/2015	2/4/2015	Case Closed by Other Agency	Yes	Habitual Complainer.	01/26/2015	1/26/2015	2/4/2015	2/11/15	0	10	16
83	2015ANE0043	0	1/1	Physical	Victim stated that he had his head slammed during Christmas of 2013 and last night he was pushed. He asked to staff to go to Doctor's Care but both staff on duty refused. SLED was called and stated they would not write a report unless instructed to do so. SLED agent stated it sounded like victim was lying. Nurse called the house and spoke to victim about back pain and was told it wasn't hurting anymore. He was offered a transport to doctor and he refused. Subject stated that during 3rd shift, he saw light on in victim's bedroom and went to check on him and to make sure he wasn't smoking. He smelled smoke and victim moved quickly to hide something under the mattress but stumbled as he did so. Subject asked if he was ok and victim stated he only had two cigarettes left. Otherwise, he was calm. Victim stated he was pushed by staff against the floor of his bed when exiting the bathroom. He was also pushed Christmas before last and his head was gashed.	Review of logs showed victim was on a home visit Christmas 2013. HRC approval for lighter and match restrictions (check in and out) expired on 1/14/15. Obtain approval to reinstate restriction for safety concerns.	P/P violation of failing to document smelling smoke or victim's actions.	Internal	No	Victim	1/29/2015	2/10/2015	Case Closed by Other Agency	Yes	Retaliatory	01/28/2015	1/29/2015	2/3/2015	2/3/2015	1	13	5
84	2015ANE0068	0	1/3	Physical	House manager noticed a small bruise on left side of victim's face. He did not know how he got it. Transported to Urgent Care and SLED contacted for information. Behavior issue on 2/12 where victim tore a door off the hinges and punched staff in the face. No documentation of an injury to victim. On 2/18 victim told Ombudsman, one staff punched him in the face after he had punched that staff member in the face and was held down by another staff	LLE determined that injuries to victim were self-inflicted and as a result of his physical and emotional outbursts. Any action taken by staff were justified and warranted. LLE closed criminal case as unfounded.	One staff terminated for failing to document properly. One has CPI refresher course and House Manager corrected for failure to report to the home when issues were phoned in. Ombudsman found improper handling of incident. Injuries were determined to be self-inflicted by LLE.	E/Ombudsma	Yes	Staff			Case Closed by Other Agency	Yes	Habitual Complainer.	02/16/2015	2/17/2015	2/23/2015	5/4/15	1	14	76
85	2015ANE0075	15-0134	1/1	Physical	Staff alleged to hit victim in the head with a broomstick. Victim transported for medical evaluation-no follow-up necessary. Subject works first shift alone in the home.	Internal review: subject violated confidentiality of consumers in home. Did not follow protocol of contacting SLED for unknown injuries.	LLE closed case on 4/23/15 unable to prove/disprove allegation. Employee terminated on 4/24/15 for p/p violations.	LLE	Yes	Staff	2/20/2015		Case Closed by Other Agency	No		02/19/2015	2/20/2015	3/4/2015	4/23/15	1	13	62

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

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86	2015ANE0081	0	1/7	Physical	Anonymous call to the provider hotline mentioned two consumers were showing signs of abuse after a particular shift. House manager purported to not take action. Staff failed to document injuries but behavior logs noted that one resident was slapping himself and another was rubbing his face on an arm chair until it was very red. Staff reported to house manager who did not visit home and SLED was not contacted. Both residents were taken for medical	P/P violations of failure to report.	P/P violations-failure to contact SLED for unexplained injury, House Manager failed to follow ANE procedures 2 employees terminated (Program Manager & House Manager). 3 staff to received additional training. Case closed by LLE as unfounded.	LLE	Yes	Anonymo us	2/20/2015		Unsubstantiated /Criminal/Unfounded	No	Habitual Complainer.	02/23/2015	2/25/2015	3/10/2015	3/19/15	2	14	22
87	2015ANE0085	0	1/1	Sexual	Staff made kissing noises at victim along with other allegations of inappropriate touching. Victim reported to QA Manager who called SLED, staff placed on admin leave. Logs showed 2/25 Glenn told staff to get ready for their challenge. When questioned, he laughed and walked away. In the afternoon, victim asked if subject could do all their hair today and it was agreed. Subject did housemates 1 and 2's hair and at the end, housemate 2 became agitated and began to pound wall and eat ashes off the floor. Victim escalated housemates issues and called subject a child molester. The other housemate intervened to help staff and threatened victim. He was sent to his room. Eventually, victim calmed down and so did housemate. Victim offered to help with dinner and when staff was distracted, victim called 911 to report housemate for threatening his life. LLE came and told everyone to stay in their rooms and while victim remained agitated, no further incidents occurred. Staff was on shift alone.	Staff on admin leave, report unfounded.	No p/p violations, reinstated.	LLE	No	Staff	2/25/2015	3/26/2015	Unsubstantiated /Criminal/Unfounded	Yes	Retaliatory	02/25/2015	2/26/2015	3/10/2015	3/26/15	1	12	28
88	2015ANE0094	0	1/1	Physical	Staff noticed bruising on victim's legs while bathing him. He was transported to Medcare.		Documentation on body audits seemed incomplete and Program Coordinator and Director need to review these to ensure completion. Unexplained injury	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		03/02/2015	3/2/2015	3/13/2015	4/2/2015	0	14	31
89	2015ANE0099	0	1/2	Psychologica	Allegation is against staff for not allowing consumer to use phone, physical abuse (pushing him and putting his thumbs into his eyes, and making consumer clean his feces out of a non-working toilet. This staff member works with subject and has had reports made to him by victim. Toilet was stopped up due to victim using paper towels instead of tissue and he had victim remove paper towels with gloves and then wash his hands and return to his room where his parents were located. Other housemate stated that no staff used profanity towards him and that he felt safe in the home. 2/11 victim toileted on his bedroom floor and stated that he had asked 3rd shift to use bathroom. 1st shift had already come on duty and 3rd shift had not been asked anything. At 7:30 am staff assisted victim in cleaning up his bedroom floor. 2/4 victim tore blinds off his bedroom window-redirected to dayroom. 2/5 victim attempted to lock the bathroom, counseled, documented and re-directed. 2/6 after dinner, victim asked to go to his room but upon entry locked the door. As staff unlocked the door, victim was attempting to leave via the window. Staff pulled victim back into room and redirected to dayroom. He calmed down, but still wanted to leave. 2/6 victim urinated in his trash can, when asked by staff why, he attempted to stab staff with an ink pen and beat on the window. He calmed down when house manager was contacted. 2/8 victim unsuccessfully attempted to dial 911 because he just	LLE found insufficient probable cause for arrest. Allegation against this subject staff is for verbal abuse-unfounded.	No p/p violations, however staff needs to find more appropriate wording for urination.	LLE	No	Family	3/3/2015	3/13/2015	Case Closed by Other Agency	Yes	Habitual Complainer.	UNKNOWN	3/3/2015	3/13/2015	3/10/2015	UNKNOWN	13	7

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90	2015ANE0100	15-0166	1/1	Physical	Victim stated that subject hit her. Logs show victim had an earlier altercation with a housemate that resulted in a few scratches. SLED called and referred to LLE. Victim transported to Doctors Care.	Logs and staff show incident between consumers on 3/3/15. No evidence of assault by staff on victim.	Unfounded.	LLE	No	Staff	3/4/2015	5/14/2015	Unsubstantiated /Criminal/Unfounded	Yes		UNKNOWN	3/5/2015	3/17/2015	5/14/15	UNKNOWN	12	70
91	2015ANE0104	0	1/1	Physical	Victim stated he was pushed to the floor by staff after being upset that he could not go to the library. Victim had gone into his room and was throwing things when staff came in and pushed him to the floor. Victim stated his leg and hip were hurting and he was transported to Urgent Care. SLED was notified. Logs showed victim refused medications. He then walked to his room and slammed the door and began destroying his room. He broke his tv and kicked on the door until it cracked. Staff tried to intervene and de-escalate and after 30 minutes he calmed down. Victim refused a prn for pain and he was instructed to clean up his room. Victim states to QA Manager that he doesn't remember. Staff stated refusal of medication and victim was upset about not being able to go to the library.	During the tantrum, victim threatened suicide but 911 was not called. Doctor's care diagnosed a urinary tract infection.	Policy violation not following suicide protocol. Training for suicide protocol.	LLE	No	Staff	3/5/2015	3/17/2015	Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	03/04/2015	3/5/2015	3/17/2015	3/16/2015	1	12	11
92	2015ANE0117	15-0194	1/1	Physical	Reviewing logs showed subject Staff pushed victim on several dates and failed to report their suicidal comments. Behavior logs show victim being pushed by staff to avoid him hitting another consumer or to avoid getting staff's drinking cup. Victim stated on two occasions he wanted to die.	P/P violation statements of pushing and pulling person supported. Failure to report suicidal verbalizations.	Employee terminated 3/19/15 - pushed victim. Violation of dignity and respect, ANE reporting and Suicidal ideation reporting. Other staff and residents to receive training on ANE reporting and suicide ideation protocols.	Ombudsman	Yes	Staff	3/11/2015		Standard of Care Concerns Verified (Perpetrator Known)	No		03/11/2015	3/12/2015	3/24/2015	4/3/15	1	14	22
93	2015ANE0125	0	1/1	Physical	Victim told program director that staff hit him. Staff stated that he was re-directing victim when he was going out the door. SLED was called and staff placed on admin leave w/o pay. Staff reported that victim was attempting to elope and staff placed himself in the doorway to prevent escape. Victim hit staff twice on the arms. No marks on victim and incident is	Logs showed subject was not working on the day victim claimed abuse.	Staffing out of ratio-subject was working alone. Reinstated unfounded.	Internal	No	Staff	3/20/2015	3/26/2015	Case Closed by Other Agency	Yes	Habitual Complainer.	03/20/2015	3/22/2015	3/26/2015	3/26/2015	2	5	4
94	2015ANE0140	0	1/1	Physical	Staff called SLED to report scratches of unknown origin on victim discovered during body check. No documentation of recent injuries. Victim refused to be transported for medical evaluation. Behavior log, the day before, noted a housemate was agitated and tried to attack victim and another housemate. Housemate made contact with victim's face and back and was documented in the log book, but no contact to program director on call. Victim also has a history of self-abuse.	P/P violation for not reporting to program manager -training for all staff at residence.	Accidental injury of unknown origin verified. Training of reporting p/p to all staff. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Habitual Complainer.	UNKNOWN	4/6/2015	4/17/2015	4/30/2015	UNKNOWN	11	24
95	2015ANE0142	0	1/1	Physical	Nursing staff called SLED, victim was determined to have a swollen left ankle and both feet bruised during check. Victim is non-ambulatory and requires staff to move her. No logs for bruising. Staff had not been taking vitals. Victim is non-verbal and nurse also contacted victim's sister about moving her to a higher	Improper handling could have caused injury (bed sores)	Accidental injury of unknown origin is verified by improper handling. Training of staff recommended. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No	Follow up with moving to a higher care facility.	04/06/2015	4/7/2015	4/16/2015	6/3/15	1	10	57
96	2015ANE0184	0	1/1	Psychologica	Victim stated he didn't feel well enough to go to the day center. Subject threatened to take that privilege away from him if he didn't go. Staff and housemates noted that subject stated if victim didn't go to day program, he wouldn't have extra money for other	Subject did not sign out of log book on 4/27/15. Review of p/p for staff.	Unverified	Ombudsman	No	Victim	4/27/2015	5/5/2015	Standard of Care Concerns Not Verified	No		04/27/2015	4/27/2015	5/6/2015	5/7/15	0	9	10

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
97	2015ANE0188	0	1/1	Physical	Spec. Ed. Teacher reported on 4/23/15 via emails to LPN about victim's bruises. Victim stated subject fought her as she was getting ready for school. Victim refused to be transported back to home. Teacher sent follow-up email when more bruises were noticed when victim was in swimsuit. Teacher also notified SLED on 4/28/15. Nurse stated she did not receive email notifications until 4/28/15. Victim transported to medical evaluation-multiple contusions. Subject noted issue between roommates on 4/23/15 before school, another on 4/27/15, and another on Monday night. Behaviors documented and statement by victim there was intent to get subject fired. Behavior by victim on 4/27 prevented staff from being able to leave the house at shift change and staff had to lock herself in the laundry room to prevent injury. Once 3rd shift arrived, they were able to calm down victim and 2d shift staff was	No p/p violations for subject. Victim recanted in statement.	Training for all support staff in escalating behaviors. Victim recanted.	Ombudsman	No	Teacher	4/28/2015	5/11/2015	Standard of Care Concerns Verified (Perpetrator Unknown)	No	Retaliatory	UNKNOWN	4/29/2015	5/11/2015	7/6/15	UNKNOWN	13	68
98	2015ANE0204	0	1/1	Physical	Altercation between housemates resulted in a broken nose for the other housemate. Victim was removed from the home and placed in a larger facility until a bed can be found for him. LLE was called for the incident and charges were pressed against the victim. In a later interview, victim alleged that staff abused him. No details given by victim as to abuse inflicted. Victim called family, while at the new facility, who	Report taken for information. Logs indicate behavior issues throughout the day of incident with housemate. Repeated attempts by staff to contact house manager were only able to leave messages.	Victim weighs close to 300 lbs. Staff accused was not strong enough to perform alleged act. Terminated for policy violation of improper or insufficient logging of incidents. Subject terminated 5/18/15.	LLE	Yes	Family	5/6/2015		Case Closed by Other Agency	Yes	Consumer on Consumer injury. Habitual Complainer.	UNKNOWN	5/6/2015	5/15/2015	5/18/15	UNKNOWN	13	12
99	2015ANE0207	0	1/1	Physical	Housemates complained to staff that another staff member had assaulted their housemate by hitting him in the head with a spatula. Log book showed that victim complained about his mouth hurting and a scratch on his neck. Staff called in bruising. Logs showed behavior tracking for victim and housemate. Victim acted out upon staff and housemate grabbed victim around the neck. Staff intervened and stopped	Behavior logs tracked incident. Victim also verbalized to mother on a home visit that he was struck by housemate	Closed as unfounded by LLE. Reinstated 7/8/15.	LLE	No	Staff	5/7/2018	7/8/2015	Case Closed by Other Agency	Yes	Consumer on Consumer. Habitual Complainer.	05/06/2015	5/7/2015	5/20/2015	5/19/2015	1	14	12
100	2015ANE0208	0	1/1	Physical	Assault between victim and staff after victim was told to clean his room. Police officer who resided next door calmed things down and management picked up victim and transported him to another facility for respite. Victim transported to ER-contusions back	No behavior documentation to review. Statement made to program director who reported to SLED. LLE investigating.	LLE determined allegation was unfounded. Victim was removed on 5/5/15.	LLE	No	Staff			Unsubstantiated /Criminal/Unfounded	Yes		05/05/2015	5/7/2015	5/19/2015	7/8/15	2	12	62
101	2015ANE0209	0	1/1	Neglect	Victim was transported to day center and dropped off between 6:30-6:45. She left alone outside the center until 7:15 when staff saw her sitting outside alone. Someone had to sit with victim until the center opened at 7:30. Center director called transport company and DSS-APS, SLED and the Governor's	Victim was left unattended by driver. DSS-APS recommended that victim use another transport company or another day center. Complaint was unfounded as victim has needs met.	Complaint was against Logistcare, not Mentor. No follow-up with real subject?	DSS-APS	No	Family			Standard of Care Concerns Not Verified	Yes	Different Provider	04/17/2015	5/8/2015	5/20/2015	7/7/15	21	13	60
102	2015ANE0219	15-0358	1/1	Sexual	5/10 Program Coordinator Staff noticed victim had a swollen scrotum and notified SLED. Victim transported to Doctor's Care and told to take him to ER. Previous night shift had noted that victim was walking strangely and that his testicles were red and swollen. She gave victim a glass of water and he	Subject staff failed to report redness or vomiting to her supervisor on 5/9.	Still under investigation. Pending case.	LLE		Staff	5/11/2015		Other Agency Investigating	Yes	Unduly long reporting gap. Habitual Complainer.	05/09/2015	5/12/2015	5/25/2015	none	3	14	open case
103	2015ANE0224	0	1/1	Psychological	Grandmother called to complain about victim's treatment and to sign him out of care by provider. She alleged abuse over 8 years without specific as to staff and dates. Staff called SLED who took report for information only.	Medication was transported from provider to grandmother on 2 occasions, she was insistant that he was not coming back to Mentor and would not let staff talk to victim. Victim discharged from Mentor on	Also physical allegation, none against any specific staff. Victim discharged from Mentor care back to family.	Internal	No	Family			Case Closed by Other Agency	No	Habitual Complainer.	UNKNOWN	5/13/2015	5/25/2015	5/25/2015	UNKNOWN	13	12

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	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
104	2015ANE0232	0	1/1	Physical	Victim stated that staff pushed her on 5/17. Taken to ER, no broken bones or bruising.	Documentation showed invasion of space issues and lack of engagement due to staff constantly sitting down and redirecting after behavior began.	Terminated 5/29/15. Pushing was not substantiated.	Internal	Yes	Staff	5/17/2015		Case Closed by Other Agency	Yes	Habitual Complainer.	05/17/2015	5/20/2015	5/28/2015	5/28/2015	3	9	8
105	2015ANE0244	0	1/1	Neglect	Improper charting of medications, staff notified mgr, who notified internal audit and SLED. SLED took report for information only.	Program director spoke with staff and said refresher class would be held. Medicine noted had been discontinued from use.	Medication refresher class held. Improper medical charting.	Internal	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	Yes		05/22/2015	6/1/2015	6/5/2015	6/5/2015	10	7	4
106	2015ANE0251	15-0420	1/2	Psychological	Staff made statements about disliking victim's sexuality not directly to him, but loud enough that he heard it and it hurt his feelings. Victim reported to program coordinator who contacted program director who took subject staff off shift. Victim2 also stated that subject staff was rude to him and had used profanity and made him go to bed earlier than usual. Program director spoke to victim1 and called SLED and removed subject on admin leave.	No documented behaviors. Findings of use of profanity against person supported. Violation of dignity and respect.	Employee terminated 6/18/15.	Ombudsman	Yes	Staff	6/6/2015		Standard of Care Concerns Verified (Perpetrator Known)	No		06/06/2015	6/8/2015	6/19/2015	7/7/15	2	15	29
107	2015ANE0253	14-0771	1/1	Physical	Victim was slapped in the face by subject staff. Incident was observed and reported by housemate who reported to program coordinator who contacted SLED. Transport to MedCare for evaluation.	Subject placed on admin leave 6/9/15.	LLE closed case as unfounded.	LLE	No	Staff	6/9/2015	6/23/2015	Case Closed by Other Agency	No		06/07/2015	6/10/2015	6/22/2015	6/22/2015	3	13	12
108	2015ANE0255	0	1/1	Psychological	Staff reported that victim and program coordinator (subject) had an incident over cigarettes that were taken by victim from painters. Staff was told that victim got in subjects face of the return of the cigarettes. Subject was very upset about the behavior. Subject yelled at victim for 3-5 minutes and threatened him with pressing charges and sending him to jail. Staff was reminded of his mandated reporting requirements and he called SLED.	Statements from staff in the home. No behavioral documentation. Statements showed that subject yelled at victim after being yelled at by victim.	Violation of p/p-dignity and respect. Program Coordinator (subject) terminated 6/22/15.	Ombudsman	Yes	Staff			Standard of Care Concerns Verified (Perpetrator Known)	Yes	Unduly long reporting gap. Habitual Complainer.	06/10/2015	6/11/2015	6/23/2015	7/10/15	1	13	28
109	2015ANE0260	0	1/1	Physical	Victim stated, during a conversation on 6/12/15, on another matter, that subject punched him in the face while he was attempting to elope. Subject was trying to get him in the van on 6/5/15. He listed a housemate as a witness. Victim refused medical treatment. Subject placed on admin leave 6/12.	Logs show that subject did not log out on two dates and left home out of ratio on another occasion.	LLE closed case-no prosecution. Employee subject reinstated 9/17/15	LLE	No	Staff	6/12/2015	9/17/2015	Unsubstantiated /Criminal/Unfounded	Yes	Unduly long reporting gap. Habitual Complainer.	06/05/2015	6/12/2015	6/25/2015	9/17/15	7	14	97
110	2015ANE0265	0	1/1	Psychological	Victim was smoking in a neighbor's yard and refused staff's request to come back to home. He walked off and 911 was called. LLE brought victim back to home, no report taken. Victim left the home again and staff were called by corner store owner. Staff went to get victim. Program Director was walking in the home when subject staff came back with victim. Overheard subject curse at victim for running off. Family notified and subject removed from shift.	Subject stated he was on shift alone and called police repeatedly for victim's actions. On his last return to home, victim threatened staff and broke freezer door handle and grabbed a knife. Subject admitted using profanity due to severity of situation.	Subject terminated 6/24/15 for verbal aggression towards victim. Violation of dignity and respect policy. Non criminal behavior.	Ombudsman	Yes	Staff	6/15/2015		Standard of Care Concerns Verified (Perpetrator Known)	Yes	Unduly long reporting gap. Habitual Complainer.	06/15/2015	6/16/2015	6/26/2015	11/16/15	1	10	153

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111	2015ANE0267	15-0458	1/1	Physical	Victim states she was punched in the eye by subject house manager while CPI was performed on her. Staff and residents were at another home for a social dinner when staff realized they had locked the keys inside the other house. Victim asked to go back to the home with staff and was told that she couldn't because they were waiting on the locksmith. Victim got upset and physically aggressive and hit staff several times before CPI was administered. After she appeared to calm down and asked staff to help her up, she bit subject staff on the hand causing it to bleed. Staff went to urgent care for tetanus shot and antibiotics. Victim had a bruise under right eye. Staff recounts incident and stated that once CPI was done, victim was spitting on the floor. Once she calmed down, they let go and she laid on the floor while staff talked to her. Staff tried to stand her up and she put her weight on them, so they had to lay her on the bed as victim starting fighting again and put her hand underneath her. Subject tried to reach victim's hand and that is when she was bitten by victim. Subject tried to open victim's mouth, but could not get victim to release subject's hand from the bite. Subject did hit victim on the side of the face to get her to let go. Once released, subject called supervisor to report.	No one is to place a person supported on the floor during CPI. Against policy to strike a person supported. Policy violation of aggression is grounds for termination. Recommend other staff go through ANE reporting training.	Employee terminated 7/6/15. LLE determined case was unfounded.	LLE	Yes	Staff	6/18/2015			Case Closed by Other Agency	No		06/14/2015	6/19/2015	7/1/2015	6/22/2015	5	13	3
112	2015ANE0270	0	1/1	Psychological	QA Staff received an anonymous voicemail stating subject spoke demeaningly with victim and failed to provide needed compression stockings for victim's edema. QA staff notified SLED. Victim stated that subject never cursed him out. All staff statements reflect they have never heard subject speak poorly about or to any consumer. Only issue was the phone was out in the home and family called subject to complain they had not been able to talk to their son. Subject called staff personal cell phone to find out the phone in the home was damaged after victim had thrown it when upset on 6/13. He directed them to purchase a new phone and make sure family talks with their son.	Physician has not ordered compression stockings since 2012.	Unverified based on insufficient evidence.	Ombudsman	No	Staff	6/17/2015	6/25/2015	Standard of Care Concerns Not Verified	Yes	Habitual Complainer.	06/17/2015	6/22/2015	6/29/2015	7/13/15	5	7	21	
113	2015ANE0273	0	1/2	Physical	Victim2 alleged that staff member hit him 4 times in the stomach and hit another victim1 in the face. Further allegation that subject did his personal laundry in the home and wouldn't let consumers watch tv, but made them stay in their rooms. Logs indicated false accusations made by victim2 against	Non subject staff failed to report allegations to SLED or supervisor. Subject staff failed to report allegations on behavior tracking. Program coordinator failed to report to supervisor.	Written warnings for failures to report. Termination of subject employee for concerns of dignity and respect-terminated 7/6/15. 7/25/16 provider submitted report that LLE had closed case for lack of	LLE	Yes	Staff	6/22/2015		Other Agency Investigating	No	Unduly long reporting gap.	06/21/2015	6/23/2015	7/6/2015	7/25/16	2	13	397	
114	2015ANE0279	0	1/1	Psychological	Victim told staff about subject cursing at her after refusing to take her to the ER. Victim's stomach was hurting and she called family who then spoke to staff and demanded that she be taken to her doctor. Staff responded that victim was fine, but had eaten a lot in the home. Family member was very upset. Subject had another staff member take victim to the hospital.	2 consumers stated that subject had used inappropriate language.	Terminated 7/9/15. Violation of dignity and respect-inappropriate language. Psychological abuse was unverified.	Ombudsman	Yes	Staff	6/25/2015		Standard of Care Concerns Verified (Perpetrator Known)	Yes		06/25/2015	7/1/2015	7/14/2015	9/10/15	6	14	71	

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115	2015ANE0280	0	1/1	Psychological	On 6/29/15 staff called Program Coordinator to let her know victim did not want to come inside from the sun. Staff ask victim what was wrong and victim said she wanted to move from home because another consumer is moving and everyone knew she wanted to move first. She stated she did not want to be around subject. Victim called 911 and when the ambulance arrived at home, victim asked them to take her to Hospital. EMT had to transport her at her request. Staff followed behind ambulance. Victim was refusing to get in the van with subject; therefore, Program coordinator went to pick up victim. Victim was later discharged with no medications but a request to follow up with her doctor in one day. Victim has an appointment today (06/29/2015). Victim was in the hallway today and said to Area Director that subject and another staff curses at her. Area Director told victim that she will speak with her in private. Area Director and Program Coordinator went to home and met with victim in the garage. Victim said that subject cursed her on the van on the way back from the zoo, she said subject said you couldn't walk but you wanted to *** eat." Staff statements showed victim got tired at zoo trip and subject sat with her to rest while others continued to walk. After zoo, all 3 consumers wanted something different for lunch, so	Subject has been working for DDSN for 30 years. No policy violations noted, kept subject on admin leave until DSS-APS investigation concluded.	DSS-APS determined case was unfounded.	DSS-APS	No	Staff	6/30/2015	8/10/2015	Standard of Care Concerns Not Verified	Yes	Victim wanted to move.	06/29/2015	7/1/2015	7/14/2015	8/10/15	2	14	40
116	2015ANE0281	0	1/1	Exploitation	Unknown caller informed director that subject had borrowed \$60 from victim. Victim was called in and reported that subject did borrow \$60 and had repayed \$20, but still owed him \$40. Victim works at McDonalds. Subject was removed from shift immediately. Loan took place a year prior. Subject states that victim has taken money from her purse on occasion. She initially thought it was a family member. Subject also states she has been doing this	Difficulty with staff being able to get LLE to take report-civil matter 7/1-10/15. Finally, stated that statute of limitations had run (over one year) and it was a provider matter.	Internal review could not find any other evidence of exploitation. Victim's word against subjects. Subject was reinstated 7/10/015 but transferred to another facility.	Internal	No	Staff	6/29/2015	7/10/2015	Case Closed by Other Agency	Yes		UNKNOWN	7/1/2015	7/15/2015	7/15/2015	UNKNOWN	16	14
117	2015ANE0292	0	1/1	Physical	7/7/15 Program director received a call from day program workshop stating victim was making allegations against subject staff pinching her arm. Subject placed on admin leave. No behaviors noted for the morning of allegation. Prior day, 7/6/15 showed behaviors including an attack on staff and self injury by banging her head on floor. 7/16/15 victim alleged staff pinched her. Victim stated she wanted to move, and wanted her parents back. 2d housemate mentioned pinching and cursing by	Investigator closed case with no prosecution.	All housemates concur with stmts; Employee recommendation is termination.	LLE		Day Program	7/7/2015		Unsubstantiated /Criminal/Unfounded	No		07/07/2015	7/7/2015	7/20/2015	7/16/2015	0	14	9
118	2015ANE0332	0	1/1	Physical	Former staff member made a claim about subject staff abusing victim. Not time and date specific and that staff didn't see it, was just told it by other staff. Victim denied allegation.	No staff interviewed were able to recite the correct ANE protocol. SLED took report for information, since not date and time specific.	Reinstated 8/3/15, refresher ANE training for staff.	Internal	No	Staff	8/2/2015	8/3/2015	Case Closed by Other Agency	Yes		08/02/2015	8/3/2015	8/14/2015	8/14/2015	1	11	11
119	2015ANE0338	0	1/1	Neglect	Victim stated to staff that Logistic Care transport driver stopped van and left victim unsupported as he left the vehicle to relieve himself in the bushes. Victim stated he has done this multiple times in the past. SLED was contacted.	Staff states they have contacted Logistic Care several times but were not provided any information. Program director requested another driver for victim.	Allegation was against transport service, not SC Mentor. Unsubstantiated due to lack of information.	DSS-APS	No	Staff			Unsubstantiated /Criminal/Unfounded	Yes	Unduly long reporting gap.	08/04/2015	8/6/2015	8/17/2015	11/6/15	2	11	92
120	2015ANE0339	0	1/1	Physical	Victim stated she was tired of subject hitting on her. No marks or bruises noted. SLED was called.	No validation that subject ever hit victim.	Unverified. Employee reinstated.	Ombudsman	No	Staff	8/10/2015	8/19/2015	Standard of Care Concerns Not Verified	No		08/08/2015	8/10/2015	8/19/2015	8/20/15	2	10	10

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121	2015ANE0342	0	1/2	Physical	Victim stated both subjects attacked him. Marks were noticed by another staff member when victim removed shirt in the home. Behavior incident on Sunday with victim attempting to leave the residence. Upon re-entry, victim again had behavior issues and staff had to perform CPI to prevent him from injuring himself or others. Victim stated he was okay and apologized to staff the next day. Program director was notified. Victim was upset because he wanted to get out of the house, but staff explained they had no van to escort people.	Doctors Care-no documented injuries. Per logs staff present could only match 8/1/15. During behavior, subject 1 was kicked by victim in the genitals and subject 2 was bitten. Not all behavioral issues were documented, including use of CPI.	Written warnings to subjects for failure to document. Corrective action for failure to transport in the staff personal car, since van was not accessible. Unfounded.	LLE	No	Family	8/10/2015	9/19/2015	Unsubstantiated /Criminal/Unfounded	Yes	Habitual complainer.	08/09/2015	8/10/2015	8/19/2015	8/13/2015	1	9	3
122	2015ANE0351	0	1/1	Physical	Staff member alleged subject abused victim two months prior. Victim states he has never been hit by staff. Housemates concur that there has been no abuse.	Staff member that made allegation failed to cooperate with investigation and was terminated. No indication from housemates that allegation was true. Subject named had been terminated two months prior.	Staff who made allegation was terminated 8/26/15. No investigating authority named. 7/25/16 provider submitted information that case was not opened by Ombudsman.	Ombudsman	Yes	Staff			Other Agency Investigating	No	Unduly long reporting gap.	UNKNOWN	8/13/2015	8/26/2015	7/25/16	UNKNOWN	14	347
123	2015ANE0353	0	1/1	Psychological	Victim claimed Logisitic Care transport staff used profanity against her. Program Director called SLED-vetted to DSS-APS.	Case manager contacted about finding a new transport service.	Not a Mentor allegation. No DSS-APS report. Logistic Care. 7/25/16 provider submitted letter from DSS-APS that case was closed due to lack of information. Letter from DSS-APS was submitted to provider on 9/22/15.	DSS-APS	No	Staff			Other Agency Investigating	Yes	Unduly long reporting gap. Different provider.	08/10/2015	8/13/2015	8/25/2015	7/25/16	3	13	346
124	2015ANE0361	0	1/2	Psychological	Subject staff yelled at day program staff and consumer. Staff was taken off shift and SLED was called. Subject staff was angry that victim consumer was butting in and trying to diffuse a situation between two consumers who were about to fight.	Numerous statements from staff that witnessed the altercation between subject and victim at a consumer dance.	Terminated 9/9/15 Violation of Dignity and respect.	Ombudsman	Yes	Staff	8/26/2015		Standard of Care Concerns Verified (Perpetrator Known)	Yes		08/26/2015	8/27/2015	9/3/2015	11/25/15	1	8	90
125	2015ANE0377	0	1/4	Neglect	Victim alleged that subject staff left him and two housemates in a van for 2.5 hours while she visited a friend at an apartment complex. Victim stated he went to the door of the apartment and knocked to have subject threaten him. Victim called SLED, who contacted another staff member while he was on the phone. That staff member was reluctant to help and SLED agent had to advise him multiple times that victim had the right to make a report. Subject pulled off shift. 2d staff also pulled off shift for interfering with the consumer. 2d staff alleged that victim was trying to get all staff fired. Other two housemates confirmed trip to apartment and cursing, but stated it was a brief stop. Subject stated she pulled over to use gps on the way to goodwill and friend saw her and came over to van to say hello. She had two small children with her and victim1 started acting very aggressive. She called program director to calm him	Program Coordinator was told by victim that he had been lying. Program Director was notified by subject that victim was acting out in the van. Log shows trip was between 9am and 9:30am. Victim recanted threat.	Both staff given dignity and respect training and returned to duty.	Ombudsman	No	Victim	9/8/2015	9/16/2015	Other Agency Investigating	Yes	Habitual Complainer.	09/07/2015	9/9/2015	9/18/2015	9/25/2015	2	9	16
126	2015ANE0381	0	1/1	Physical	Staff reported that victim and subject were in a physical altercation. As reporting staff attempted to break up the fight, she was also punched in the face. Subject stomped victim's face and spit on her as she left the residence. Victim was transported to Urgent Care-diagnosis, head injury and contusion.	SLED vetted case to LLE. Recommendation is to terminate subject.	No report from LLE and no final determination. 7/25/16 provider submitted addendum to show subject was terminated on 9/25/15. Still no LLE investigation on subject.	LLE	Yes	Staff	9/12/2015		Other Agency Investigating	Yes	Unduly long reporting gap.	09/12/2015	9/14/2015	9/25/2015	none	2	15	open case
127	2015ANE0384	0	1/1	Psychological	Victim complained that day program staff called her 'retarded' during a QA review. Issues with determining actual day program staff's name, but that was determined on 9/30/15 and SLED and DSS-APS was updated. Subject staff was not placed on admin leave per AOPA but that was rectified 10/5/15	DSS-APS took the report but did not rise to the level of being accepted for APS assessment, that statement issued 11/5/15.	Allegation is against day program staff and not SC Mentor.	DSS-APS	No	Staff	10/5/2015		Case Closed by Other Agency	Yes		UNKNOWN	9/17/2015	9/28/2015	11/5/15	UNKNOWN	25	49

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128	2015ANE0391	0	1/1	Physical	Victim stated that subject staff poured out his kool-aid and also threw the cup that also had a spoon in it at him. Staff cursed him and victim cursed back. 2d staff noted behavior issues with victim and that he had attitude towards subject staff all day. Victim went into refrigerator without washing his hands and subject staff redirected him to wash his hands. Victim threw his cup in the sink and started yelling that subject showed favoritism to other housemates and threatened to break out subject's car windows. Victim threatened to get staff fired and to have his mother come attack staff.	No finding of violation of dignity and respect policy from internal review.	Review of victim bsp by subject, reinstatement. No p/p violation.	Ombudsman	No	SLED	9/18/2015	9/30/2015	Standard of Care Concerns Not Verified	Yes	Habitual Complainer.	09/17/2015	9/18/2015	10/1/2015	12/12/15	1	17	85
129	2015ANE0399	15-0660	1/1	Physical	Housemate alleged that staff pushed victim down. Victim states he tripped and staff did not push him. Housemate also called LLE and stated that staff threw victim across the table. No bruising on victim and staff stated he had a normal day. Victim stated he tripped on the trash can and hurt his ankle. Victim also stated that housemate was trying to get staff	Statements between alleged victim and staff were consistant. Recommending further training on housemates bsp's.	Internal review only. Unfounded.	Internal	No	Housemate	9/22/2015	10/5/2015	Case Closed by Other Agency	Yes		09/21/2015	9/22/2015	10/5/2015	10/5/2015	1	14	13
130	2015ANE0403	0	1/2	Physical	Staff reported on 9/24/15 that victim had a swollen right eye and scratches on his chest. No behaviors for 9/24/15, but he had one on 9/22/15, which resulted in victim being placed in CPI by two staff. Neither staff reported performing CPI to their supervisor, nor on call. Subject staff placed on admin leave.	No outcomes or findings listed.	No LLE report, still on admin leave?	LLE	No	Staff	9/24/2015		Other Agency Investigating	Yes	Unduly long reporting gap. Habitual Complainer.	09/24/2015	9/24/2015	10/7/2015	none	0	14	open case
131	2015ANE0413	N/A	1/3	Physical	Neighbor reported that she witnesses individuals being beaten on the front lawn about two weeks ago, along with safety concerns and too many people living in the home. There have been several police visits to the home. She also stated the condition of the home is affecting the value of their home which they are trying to sell. Victim states he was never hit by staff. Housemate threw him down on 10/14/15 and he broke his bedroom window, but didn't mean to. Behavior logs show altercation between housemates on 9/6/14, CPI was performed on victim. 9/11/15 victim broke window.	ANE reports on 9/24/15 involved victim3 and two staff-separate file and a list of actions at the facility involving former residents. Facility repairs have been made.	SLED information only report. No findings that merit further action. Staff received further training on ANE and positive behavior supports. Facility concerns.	Internal	No	Witness			Case Closed by Other Agency	Yes	Duplicate incident to 2015-416.	UNKNOWN	10/2/2015	10/15/2015	10/15/2015	UNKNOWN	14	13
132	2015ANE0425	0	1/1	Physical	Victim told his mother over the phone that subject staff had burned his hand. Staff overheard conversation and contacted SLED. Victim taken to Medicare. Pain in left hand and contusion. Behavior logs show that victim and another housemate went to the corner store to see what was taking a 3rd housemate so long. Victim became disruptive at the store and began throwing candy and trying to kiss customers. Subject staff redirected victim and return to house. Back at the house, victim pulled out two candy bars. At 9:05pm victim was playing in the laundry room and subject staff redirected him to the house. He got angry and began throwing things and slapped the door. Staff checked for swelling and found none.	No findings to substantiate claim. However, subject staff did not indicate to supervisors that she was on shift alone. Parents showed support of staff member and all history supports victim hurt his hand slamming doors.	SLED was contacted. Self-inflicted injury.	Internal	No	Staff	10/9/2022	10/22/2015	Case Closed by Other Agency	Yes	Habitual Complainer.	UNKNOWN	10/9/2015	10/22/2015	10/22/2015	UNKNOWN	14	13
133	2015ANE0427	0	1/1	Physical	Staff noticed 4-8 inch laceration on victim's mid lower back. Transport to ER. Six stitches. Doctor noted that laceration was not self-injurious and he was notifying DSS and LLE. Family was notified and thought wound was self-injurious. SLED vetted to Ombudsman. Housemate stated that subject would hit victim with large metal spoon and spatula. Area director notified SLED with updated information. Subject placed on admin leave. No injuries noted in	LLE closed case administratively until further leads or suspects are established.	Subject reinstated 3/25/16.	Ombudsman	No	Staff	10/9/2015	3/25/2016	Case Closed by Other Agency	No	Unduly long reporting gap. Habitual Complainer.	10/07/2015	10/9/2015	10/21/2015	3/25/16	2	13	168

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
134	2015ANE0433	0	1/1	Psychological	A new staff reported an allegation is that subject cursed at victim during a 30 day review of the employee by management. Allegation was against another supervisor. Other staff verified allegation and that victim would stay in his room when subject was on duty. Victim also claimed subject took away	ANE protocol training needed for facility staff. Recommended to terminate subject.	Subject terminated 10/26/15. Confirmed verbal abuse, restriction of consumer's freedom to smoke.	Ombudsman	Yes	Staff			Standard of Care Concerns Verified (Perpetrator Known)	No		UNKNOWN	10/13/2015	10/23/2015	10/26/15	UNKNOWN	13	13
135	2015ANE0444	0	1/1	Psychological	Victim alleged she is locked out of her residence for 5-10 minutes when she does something wrong by subject staff.	Violation of dignity and respect were verified by Ombudsman for using term 'punishment' by all facility staff. Training recommended. Also recommend reinstatement of employee, but transfer to another	Reinstated 11/5/15 but transferred. Ombudsman verified the use of the term 'punishment' was a violation-not at a criminal level of abuse.	Ombudsman	No	Staff	10/23/2015	11/5/2015	Standard of Care Concerns Verified (Perpetrator Known)	No		UNKNOWN	10/23/2015	11/5/2015	12/26/15	UNKNOWN	17	64
136	2015ANE0449	0	1/1	Physical	Staff noticed bruises on victim while bathing. No notes in logs documenting bruises but there were notes for physical and verbal aggression on previous shift. Victim is medically fragile; staff could not determine cause of bruising. Staff notified family and SLED (one day later) and sent victim to ER for evaluation. Xray showed plate in arm was still in place and ordered tylenol or motrin. LLE came to ER for report.	Victim had many different accounts of how his arm was hurt.	The following policy violations were: Program Coordinator Staff did not monitor victim according to his level 3 accountability, and failed to report the unexplained injury. Staff received a written warning for failure to report, and not following accountability as outlined. LLE 10/26/15 and Ombudsman 11/9/15 closed case no further action. Unknown injury.	E/Ombudsma	No	Staff			Case Closed by Other Agency	Yes		10/23/2015	10/26/2015	11/5/2015	11/9/2015	3	14	14
137	2015ANE0457	15-0752	2/2	Psychological	Related to 2015ANE0460. Alleged that staff yelled at victim to moved her feet (that were on the couch) so that another housemate could sit down. Other issues in the home at the same time, one housemate refused to bathe and another was attempting to		Staff received training on ANE and respect and dignity. Yelled at victim.	Ombudsman	No	Staff	10/30/2015	11/11/2015	Standard of Care Concerns Verified (Perpetrator Known)	Yes	Same event as 2015-460.	10/29/2015	10/30/2015	11/11/2015	11/20/2015	1	13	21
138	2015ANE0458	15-0753	1/1	Physical	Victim became aggressive during a check of his vitals and began throwing items and pushed subject several times before grabbing her from behind and they both fell to the floor. Subject twisted her ankle and victim went to his room to calm down and later came out aggressively swinging some heavy duty extension cords. Once victim was calmed down, a welt was noticed under his right eye. Father stated victim had a black eye and took him to the ER-no internal damage. Subject staff called program director to note attack.	All behavior of victim was documented and concurred with statements.	Case closed as unfounded. Employee reinstated.	LLE	No	Staff	10/30/2015	12/2/2015	Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	10/30/2015	11/2/2015	11/12/2015	12/12/15	3	11	40
139	2015ANE0466	0	1/1	Physical	Staff noticed blood in victim's bedroom and then noticed victim's toenail was missing. ER transport-antibiotics. Unknown origin of injury. Injury occurred on 10/17 but was not reported to SLED until 11/4/15.	One staff received written warning ANE reporting procedures. Another staff was terminated for HIPPA due to her husband driving she and the people supported in her personal vehicle.	Injury of unknown origin was verified. Failure to report timely was also verified.	Ombudsman	Yes	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No		10/17/2015	11/4/2015	11/16/2015	12/26/15	18	13	52
140	2015ANE0482	0	1/1	Psychological	Victim alleged staff threatened to punch him in the mouth. Victim was upset as he was unable to request money for a \$400 item-discouraged in his BSP and also upset since housemates were going on a home visit and he was not. SLED took report for information only and stated that subject could remain	Subject on admin leave 11/16/15. Other residents contradicted victim's statement as did subject.	Unverified.	Internal	No	Staff	11/16/2015	11/25/2015	Case Closed by Other Agency	No		11/13/2015	11/16/2015	11/25/2015	11/25/2015	3	9	9
141	2015ANE0496	15-0825	1/1	Physical	Victim was found to have scratches and/or bite mark on left shoulder, while bathing, which appear to be several days old. Victim has limited speech and could not comment on cause of injury. Staff contacted family, SLED. ER confirmed scratches and given antibiotic ointment.	No findings of origin.	Accidental injury of unknown origin.	Ombudsman		Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No		UNKNOWN	11/23/2015	12/8/2015	12/26/15	UNKNOWN	16	33

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
142	2015ANE0497	0	1/1	Sexual	Victim was crying after home visit and when asked what was wrong she pointed to her private area. LLE Victim transported to ER-no evidence of sexual assault, but victim was constipated.	Staff transported victim to ER out of concern of possible sexual assault during home visit-victim was constipated.	No p/p violations. LLE closed case as there was no determination of sexual assault.-constipation. Allegation would have been during home visit and not SC Mentor staff who reported.	LLE	No	Staff			Case Closed by Other Agency	No	Is allegation reported prior to medical finding.	UNKNOWN	11/23/2015	12/2/2015	12/23/15	UNKNOWN	10	30
143	2015ANE0501	0	1/1	Physical	Victim was asked by subject to ride along so that subject staff could take another consumer home. Victim became upset and attacked subject with a fork and then choked her. Subject staff hit victim with a coffee pot to get him off of her. Victim transported to ER-5 stitches above left eye. Subject went to Doctor's Care for cuts on hand. Case manager, family,	Subject admitted to hitting person supported Victim with a coffee pot. All witness shared that subject hit victim with the coffee pot to stop him from choking her and that she was defending herself.	Subject will be terminated 12/8/15. The allegation of physical abuse was reported to SLED. SLED took the incident for informational purpose.	LLE	Yes	Staff	11/23/2015		Case Closed by Other Agency	No		11/23/2015	11/24/2015	12/8/2015	12/2/2015	1	14	8
144	2015ANE0507	0	1/1	Neglect	Victim fell and hit her head-laceration. Transported via EMS to ER-laceration, 2 stitches and out of workshop until 11-30-15. Victim was placed on 1 on 1 because she could not have on her helmet. Victim is unsteady on her feet and always wears a helmet when she is awake. Since this incident, provider has	Both staff on duty indicate that victim was in bed sleeping when she fell. Only one was listed as the subject. If victim was sleeping while sitting, she should have had her helmet on, if not, then helmet not needed. Conflicting	Supervision was unverified, assistive adaptive equipment was verified.	Ombudsman	No	Staff	11/25/2015	12/1/2015	Standard of Care Concerns Not Verified	No		11/24/2015	11/25/2015	12/10/2015	12/23/15	1	16	28
145	2015ANE0516	0	1/1	Neglect	Victim was soiled in her bed. When reporting staff asked subject staff how long has victim been in bed, subject replied for reporting staff to look in the book, then stated she was leaving, it's your shift. Reporting staff believed that subject neglected her job responsibility to do what was required at the time. She felt obligated to report it to program director and also called SLED to cover herself.	No finding of neglect. Employee reinstated.	Unverified. Workplace harmony training to be conducted for facility staff.	Ombudsman	No	Staff	12/1/2015	12/11/2015	Standard of Care Concerns Not Verified	No		11/30/2015	12/1/2015	12/14/2015	12/23/15	1	14	22
146	2015ANE0518	0	1/3	Neglect	Victim used a fingernail clipper to remove a large portion of one of his entire finger nails on his left hand somewhere near shift change. Victim did not get medical attention for 24 hours.	All three staff during interval between injury and reporting received a written reprimand for failing to report. All knew of the injury and	Neglect in unverified. Insufficient documentation of medical and facility records in verified.	Ombudsman	No	Staff	11/23/2015	12/8/2015	Standard of Care Concerns Not Verified	Yes	Habitual Complainer.	11/22/2015	12/2/2015	12/8/2015	1/14/16	10	8	43
147	2015ANE0522	0	1/1	Physical	Victim was disturbing a doctor's office waiting room. Once she left the office with staff, victim jumped out of the van at the lunch stop parking lot and walked away. Subject staff walked behind her and encouraged her to return to the van. Subject also contacted Program Director who attempted to redirect victim by phone, unsuccessfully. Victim stated she was 'going to walk into heavy traffic and kill herself because that was her right.' Subject staff grabbed victims arm to prevent her from entering the busy street, this caused victim to fall to the ground. Program director notified SLED regarding the bruise on the arm.	Victim recanted earlier statement of being pushed by staff. Separate witness observed victim falling to the ground, but did not know of her intention to walk into traffic.	Employee reinstated because victim recanted and other staff on duty supported subject's stmt. Victim's BSP calls for physical blocking to prevent suicide attempts. No p/p violation.	Internal	No	Staff	12/3/2015	12/4/2015	Standard of Care Concerns Not Verified	Yes		12/03/2015	12/4/2015	12/16/2015	12/18/15	1	13	14
148	2015ANE0523	0	1/1	Sexual	Staff reported that victim has HIV, genital warts and herpes. Everytime she goes home for a visit, she returns with another STD. Staff is worried that victim is sexually active at home and not telling staff. Victim also shares her underwear with housemates. Victim went home for Thanksgiving with stitches in her rectum and has to seek medical attention when those were broken. Victim states she doesn't know how she is getting STD's.	Staff is seeking HRC consent to address overnight visits. Urgent Care is filing a report to DHEC against victim per staff at facility of grandmother's address.	No outcomes listed, no LLE reports. Unknown subject for actions outside of SC Mentor. Allegation is of neglect and not sexual? 7/25/16 provider sent addendum showing that team recommended that victim only be given day passes to visit family to prevent her from going out at night and having unprotected sex with anyone in the community. Case was not accepted by DSS-APS. Team meeting agreement was dated 12/18/15 and not followed up with DDSN until 7/25/16	Ombudsman	No	Staff			Other Agency Investigating	Yes	Unduly long reporting gap	12/01/2015	12/7/2015	12/16/2015	7/25/16	6	10	230

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
149	2015ANE0541	15-0892	1/1	Physical	Staff noticed two bruises on victim's forehead. Transport to Urgent Care-CT scan-clear. Prior staff noted marks in log, but did not report. Family and SLED notified.	Staff did not follow protocol on the date of the occurrence, however after prompted by Area Director, she called the following day. Training on ANE protocols needed. Program Coordinator did not have adequate	Injury from unknown origin has been verified. ANE training for staff. Unknown injury.	Ombudsman	No	Staff			Standard of Care Concerns Verified (Perpetrator Unknown)	No		12/12/2015	12/14/2015	12/27/2015	1/26/2016	2	15	43
150	2015ANE0550	0	1/1	Physical	Victim was noticed to have a swollen left hand and hurt arm. He stated injury occurred when he and subject staff wrestled and he was pulled off the bed and hit his arm against the wall. While examining the injured hand, victim had an outburst and cursed staff and spit in QI Manager's face and hit him on the shoulder. Victim later apologized. Subject staff stated that victim fell and was offered medication. 2d staff member confirmed that victim stated to him that he had fallen.	Initially vetted to ombudsman, but upgraded to a LLE review.	12/21/15 Ombudsman report states improper redirect should have gone to LLE. Went back to SLED to directed to Columbia Police Dept. No final report. 7/25/16 final report supplied that determined there was insufficient probable cause to charge subject and that victim had sustained a broken arm in the incident. Case was closed 2/24/16.	LLE		Staff	12/17/2015		Other Agency Investigating	Yes	Unduly long reporting gap	12/17/2015	12/18/2015	12/27/2015	7/25/16	1	11	219
151	2015ANE0562	15-0924	1/1	Physical	Mother accompanied staff to dentist appt. Prior to appt, victim was playing basketball by himself outside. Victim had swelling on inside of top and bottom lips and may have been chewing on the injured area. Mother did not think the injury happened from basketball, she thought he might have been punched in the mouth. No notes in logs. Victim is non-verbal. Victim transported to ER, SLED	Victim sometimes bites his lips. No behavioral concerns. Staff did not follow ANE policy of reporting as soon as injury was identified, but did report later that day.	ANE training for staff on duty. Allegation is not verified.	Ombudsman	No	Staff			Standard of Care Concerns Not Verified	No		12/29/2015	12/30/2015	1/12/2016	3/1/16	1	14	62
152	2015ANE0564	15-0929	1/1	Physical	Victim discovered to have a bruise with blood coming out of it on left side of chest during bathing. Victim could not state cause of injury. No documentation in house as to injury. Transport to doctor's care. Victim also stated he was bit by a spider.	Staff need training in properly documenting log book.	Injury of unknown origin is documented, but there is not a known subject. Spider bite is what victim asserts.	Ombudsman	No	Staff			Standard of Care Concerns Not Verified	Yes	Habitual Complainer.	UNKNOWN	12/31/2015	1/12/2016	1/26/16	UNKNOWN	13	26
153	2016ANE0004	15-0934	1/1	Physical	Alleged that staff will push victim when he does not move fast enough and he has a knot on the back of his head and there is blood on the kitchen wall and refrigerator. The whole house is afraid of her.	Victim has history of self-abuse of slapping himself and hitting his head against the wall. Another staff informed director that she had witnessed abuse of victim by subject in December. That staff did not report in a timely manner.-ANE	Subject terminated 3/4/16. Subject has turned herself in on 3/3/16 and was arrested and charged with two counts of abuse of a vulnerable adult.	LLE	Yes	Anonymo us	1/4/2016		Substantiated/Criminal/Founded (Perpetrator Known)	No	Habitual Complainer. Tied to 2016-005	UNKNOWN	1/4/2016	1/14/2016	3/7/16	UNKNOWN	11	63
154	2016ANE0008	0	1/1	Psychological	Staff admonished victim for relieving himself in his clothes. Mother overheard this while on phone with victim	Victim's mother no longer wants staff assisting victim.	Staff transferred to another facility since victim's mother did not want him working on her son	Internal	No	Victim	1/4/2016	1/11/2016	Case Closed by Other Agency	Yes	Habitual Complainer.	01/04/2016	1/4/2016	1/15/2016	1/15/2016	0	15	11
155	2016ANE0009	16-0007	1/1	Physical	Victim claims that subject staff pushed him on 12/31 and he hit the stove which caused hot water to burn him. Victim has a burn on his right arm. Transported to doctor for evaluation-diagnosed with hot water burn to right forearm. SLED contacted and vetted to LLE.	None	No closing report from LLE or internal review. 7/26/16 Provider submitted substantiated report from LLE showing subject had been arrested and plead guilty on 3/26/16 to assault and battery 3rd degree. Subject terminated 3/23/16.	LLE	Yes	Staff	1/4/2016		Other Agency Investigating	Yes	Unduly long reporting gap. Delay in reporting.	12/31/2015	1/4/2016	1/15/2016	7/26/16	4	15	203
156	2016ANE0029	16-0036	1/2	Physical	Victim stated that subject1 kicked her. Documentation showed victim had behaviors when she did not get the soda when she requested it and attempted to elope. Victim fell to the ground and claimed she was jumped by subject1 and subject2. Victim transported to urgent care - rib contusion. Subjects placed on admin leave and SLED contacted.	Victim slapped subject1 in the face very hard and lost her balance. Housemates stated victim was eloping and subject1 and 2 performed CPI to keep her in the home. Finding of improper documentation by subject2.	Subject1 terminated for not giving victim her television. Subject said she fixed it overnight. Subject2 written warning and follow up training. No final report from LLE. 7/25/16 no follow-up by LLE.	LLE	Yes	Staff	1/13/2016		Other Agency Investigating	Yes	Unduly long reporting gap. Habitual Complainer.	01/13/2016	1/14/2016	1/28/2016	7/25/16	1	15	192
157	2016ANE0044	16-0065	1/1	Physical	Victim states staff kicked her on her left leg a long time ago (no date specified). No bruise reported. SLED took report as information only.	Staff placed on admin leave on 1/14/16 from another case. No housemates or staff noted any inappropriate behavior by subject staff.	Recommended that staff be terminated due to policy violations in another case.	Internal		Staff	1/26/2015		Case Closed by Other Agency	Yes		UNKNOWN	1/26/2016	2/8/2016	2/8/2016	UNKNOWN	14	13

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap	
158	2016ANE0055	0	1/1	Physical	Victim called DSS to complain that his social worker beats him and mistreats him. Provider notified and requested staff take victim to urgent care for assessment. Stated nothing was wrong with him and hadn't reported anything. Victim refused to go, be evaluated by staff, sign a form to decline treatment, or acknowledge his statement of not alleging abuse. QIM contacted family who confirmed victim had called. Family requested he be moved because he is not happy at current home. Victim has not verbalized	Staff member mentioned by victim is not a Mentor employee, so no one is placed on admin. Leave. Staff to address victim making false accusations.	Allegation was made against a social worker, not a SC Mentor employee.	Internal	No	Victim				Case Closed by Other Agency	Yes	Habitual Complainer. Victim wanted to move. Different provider.	UNKNOWN	2/2/2016	2/16/2016	2/16/2016	UNKNOWN	14	14
159	2016ANE0060	0	1/1	Neglect	Victim was left alone in the van while staff went inside another facility. Amount of time left alone in the van was undetermined. Victim states time to be about 5 minutes. Another staff came to facility and realized victim was on the van alone and sat outside with her until subject staff returned to the van. Subject stated it was raining very hard when program director told her to go to facility to pick up test strips for another consumer. Subject took one housemate with her, so as to leave remaining staff in compliance with staffing ratio (2 consumers). When subject arrived it was raining so badly, she told victim she would run in and be right back. Subject spoke to staff who told her test strips were on the table, she left and went back to van and spoke with victim who was fine and drove back to residence. Staff at residence with test strips stated subject was there very briefly. Subject admits to leaving victim alone in the van to enter the residence to pick up test strips. Victim is on continuous visual once she exits the residence. Subject states that she is aware of supervision guidelines, and used poor judgement in leaving her in the van for a short period of time because she did not want victim to be out in the stormy weather.	All witnesses verbalize that the time frame was short. Staff will continue to follow ANE reporting procedures.	Subject will receive ANE training, as well as, supervision level training. Subject will receive corrective action to address not following victim's outside level of supervision. Left in van during rainstorm for a few minutes.	Ombudsman	No	Staff	2/3/2016	2/16/2016	Standard of Care Concerns Verified (Perpetrator Known)	Yes		02/03/2016	2/4/2016	2/17/2016	2/29/16	1	13	25	
160	2016ANE0064	0	1/3	Neglect	Victim reported to program director that subject staff clocked out at 6:40 am. 2 Residents were on 3 hour accountability and one had a 1 hour accountability. At 9 50 all residents were still alone when program director arrived and contacted SLED. All residents were in good condition.	Subject clocked out 20 minutes early and before next shift arrived. Next shift did not show to work. Termination recommended. Next shift employee not terminated due to non-awareness of being on the schedule at that facility. Email from	Terminated 2/23/16. 7/25/16 received note from provider that case had been administratively closed by LLE on 6/27/16-cannot determine a crime was committed with information available.	LLE	Yes	Staff	2/5/2016		Unsubstantiated /Criminal/Unfounded	Yes	Unduly long reporting gap	02/05/2016	2/5/2016	2/19/2016	7/25/16	0	17	170	
161	2016ANE0100	0	1/2	Neglect	Victim called SLED and stated that subject staff said she had things to do and left house for three hours. Neither he, nor his roommate, had breakfast, or lunch, and there was no food in the house. SLED contacted LLE to do a welfare check and contacted DDSN. Victims were on 3 hour accountability. Subject stated she brought a 3rd housemate to a meeting at the office and left victims alone since they were on 3 hour accountability. Food had been moved to freezer since the refrigerator was not getting cold. New refrigerator was ordered and delivered 2/26/16. Subject was logged out of house under 3 hours.	2 housemates stated there was food readily available in the house. One consumer refused to give a written statement.	No p/p violations. Staff reinstated 4/29/16.	LLE	No	Victim	2/25/2016	4/29/2016	Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	02/25/2016	2/25/2016	3/8/2016	4/29/16	0	13	64	
162	2016ANE0101	0	1/1	Physical	Victim stated to vocational trainer that they are beaten with broom handles in her house. Trainer reported to SLED, who took the report for information only. Victim, when interviewed, stated this was a couple of years ago. Former employee was not terminated for abuse. Victim has behavior	No evidence to support allegation.	Not verified.	Internal	No	Vocational Staff			Case Closed by Other Agency	No		UNKNOWN	2/26/2016	3/9/2016	3/9/2016	UNKNOWN	14	12	

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SC Mentor ANE Incidents 7/1/2013 to 3/31/2016

	ANE ID #	SLED Report	Allegation #	DDSN Allegation Type	Brief Description	Findings	Notes	Investigating Authority	Employee Terminated	Reporting Source	subject on Admin Leave	Reinstated	Final Disposition	HM	Issue with Allegation	Date of Incident	Initial Report Submitted	Final Internal Report Submitted	Final Report of Investigator	Initial Reporting Gap*	Internal Review Gap+	External Report Gap
163	2016ANE0104	0	1/1	Physical	Victim's mother called to say victim (who was on a home visit) had a bruise on his forehead and had fallen out of bed at facility and called for help three times, but staff was sleeping. Victim did not return to that facility. SLED took report for information only.	No behavior logs noted and no incidents of victim falling out of bed or chair that he sleeps in.	No findings to substantiate claim.	Internal	No	Family			Case Closed by Other Agency	Yes	Habitual Complainer.	UNKNOWN	2/26/2016	3/9/2016	3/9/2016	UNKNOWN	14	12
164	2016ANE0116	16-0170	1/1	Neglect	Neighbor noticed someone sitting in their car and called 911. Then they noticed it was the supported person, from next door, and walked him back to home and canceled 911 call. SLED vetted to LLE. Log book shows accountability through 5:15. Program director called at 5:11 regarding elopement. Door chimes in residence were turned off, so staff was unaware when victim eloped.	Subject staff was washing dishes and did not hear elopement. Door chimes were deactivated for an unknown reason. Door chimes to be checked on a daily basis.	LLE closed out unsubstantiated, non criminal event.	LLE	No	Witness	3/2/2016	3/24/2016	Unsubstantiated /Criminal/Unfounded	Yes		03/02/2016	3/3/2016	3/15/2016	3/4/2016	1	13	1
165	2016ANE0118	16-0176	1/1	Physical	Staff overheard victim tell his brother on the phone that another staff had grabbed him about a month prior at a monster truck event. Staff reported to SLED, who vetted to DSS-APS. Subject states that at the event, victim left group and started walking up the stairs and did not respond to staff's request to return. Victim was bumping and cursing at other attendees. Victim grabbed a man who was with his children. Subject intervened by grabbing and blocking victim's hands. Other staff arrived with consumers and felt that CPI was needed. A CPI form was completed.	Subject statement to QIM contradicts what he wrote on behavior tracking. His signature was also on the CPI form. Subject to remain on administrative leave pending DSS-APS investigation.	No final determination. 7/25/16 Case was not accepted by DSS-APS.	DSS-APS		Staff	3/6/2016		Other Agency Investigating	No	Unduly long reporting gap	UNKNOWN	3/7/2016	3/18/2016	7/25/16	UNKNOWN	15	139
166	2016ANE0124	16-0189	1/1	Psychological	Allegation of derogatory comments made by house staff to victim. Victim is non-verbal and can only shake her head yes or no. History shows that victim shakes her head 'yes' when she is upset.	Subject can not be identified. Training for all staff in home on dignity and respect.	Abuse is unverified due to insufficient evidence. Training on dignity and respect for staff in facility.	Ombudsman	No	Day program			Case Closed by Other Agency	No		UNKNOWN	3/11/2016	3/22/2016	4/5/16	UNKNOWN	13	25
167	2016ANE0144	16-0232	1/1	Sexual	Victim alleged that she was having a sexual relationship with subject staff. She stated that subject slapped her on the mouth. Victim had a small mark on her mouth. SLED vetted to LLE. Victim transported to Medicare for mouth pain-lip pain, no follow-up.	Subject had a verbal warning about not giving housemates cigarettes on 3/14/16.	LLE closed unfounded. Employee terminated 4/14/16, due to not being a suitable fit for organization.	LLE	Yes	Staff	3/26/2016		Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	UNKNOWN	3/28/2016	4/6/2016	4/16/16	UNKNOWN	10	19
168	2016ANE0147	16-0233	1/1	Neglect	Allegation against subject staff for providing victim with cigarettes and smoking marijuana in the home. Victim has COPD and is not allowed to smoke or be around smoke.	Subject admitted to giving victim cigarettes and not knowing her status. She saw her smoking and since she found out that victim can't smoke, she has not given her any cigarettes. Subject was verbally warned on this 3/14/16.	Employee terminated 4/12/16 due to not being a good fit for organization. Ombudsman verified failure to follow BSP based on medical documentation, not the allegation. Giving cigarettes to victim who has COPD. LTCCO also found that the RSP needs to be updated to show that victim has COPD. HRC should follow up with recommendation to not allow victim to smoke.	Ombudsman	Yes	Staff	3/26/2016		Standard of Care Concerns Verified (Perpetrator Unknown)	Yes	Habitual Complainer.	UNKNOWN	3/28/2016	4/8/2016	4/20/2016	UNKNOWN	15	23
169	2016ANE0148	0	1/1	Sexual	Victim stated to staff that she and subject staff were having an inappropriate relationship. When victim attempted to kiss subject, she was slapped and responded by throwing all her wall decorations on the floor. Logs show behavior issues on 3/20 and broken glass throughout the house with a line drawn through it by subject. 3/28/16 victim transported to MedCare for mouth pain-lip pain diagnosis-no follow-up.	LLE determined allegation was unfounded. Subject failed to report inappropriate touching by victim to staff, but reported it to LLE. Written reprimand for failing to follow policy.	Written reprimand to subject for failure to report and document. Reinstated 4/15/16.	LLE	No	Staff	3/26/2016	4/15/2016	Unsubstantiated /Criminal/Unfounded	Yes	Habitual Complainer.	UNKNOWN	3/28/2016	4/6/2016	4/12/2016	UNKNOWN	10	15

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170	2016ANE0169	16-0269	1/1	Sexual	Staff overheard victim state on the phone to his brother that subject staff was trying to rape him. SLED and director were contacted. LLE showed up at facility when staff was on phone with program director to report incident. Victim had also called LLE. Victim claimed sexual harrassment and making of physical threats by subject. Housemates all stated subject treats them with respect. Dates of the actions were undetermined by victim. 4/9/16 victim called 911 and made accusations regarding subject and another staff member. Victim also stated he was going to get all staff out of the house. 2d staff also relieved. Subject stated that victim was being belligerent to 2d staff and subject asked victim to comply, which he refused. Subject then stated he was not going to give victim a pair of shoes he had promised as long as the victim was good. Victim then became very belligerent and threatened to get all	LLE determined allegations were unfounded and victim did not want to prosecute.	Unfounded, but staff to receive BSP training and moved to another facility.	LLE	No	Staff	4/9/2016	4/22/2016	Unsubstantiated /Criminal/Unfounded	Yes	Retaliatory. Habitual Complainer.	03/25/2016	4/9/2016	4/22/2016	4/22/16	15	13	13

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